

# Special Guardianship

Code of Practice on the exercise of social services  
functions in relation to special guardianship orders



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*Issued under section 145 of the Social Services and Well-being (Wales) Act 2014.*

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# 1. Preamble

- 1.1. This code of practice ([‘code’](#)) is issued under section 145 of the Social Services and Well-being (Wales) Act 2014 (‘the 2014 Act’), which gives the Welsh Ministers the power to issue codes on the exercise of social services functions. Local authorities, when exercising their social services functions in respect of special guardianship orders, **must** act in accordance with the requirements contained in this code and have regard to any guidelines set out here. Section 147 of the Act (departure from requirements in codes) does not apply to any requirements contained in this code, so this code **must** be followed in full.
- 1.2. This code of practice on the exercise of social services functions in relation to special guardianship orders comes into force on ~~the~~ [insert date]. It revokes and - replaces ~~and revokes~~ the previous version of the code which ~~came~~ into force on ~~the~~ 2 July 2018.
- 1.3. In this code, a requirement is expressed as ‘**must**’ or ‘**must not**’. Guidelines are expressed as ‘may’ and ‘may not’ or ‘should’ and ‘should not’.
- ~~1.4. This code of practice replaces the statutory guidance on special guardianship issued by the Welsh Government in April 2006. This updated eCode of pPractice on the exercise of social services functions in relation to special guardianship orders replaces and revokes the previous version of the earlier version of the eCode of Practice, issued under section 145 of the Social Services and Well-being (Wales) Act 2014, which came into effect in July 2018.~~
- ~~1.5. The Welsh Government undertook a review of special guardianship orders in Wales during 2016-17. This code of practice is one of the products of that review. The Welsh Government would like to thank the members of the Technical Group on Special Guardianship who assisted the Welsh Government in undertaking this review.~~

## Advocacy

- ~~1.6.~~1.4. An individual **must** feel that they are an equal partner in their relationship with professionals. It is open to any individual to invite someone of their choice to support them to participate fully and express their views, wishes and feelings. This support can be provided by someone’s friends, family or wider support network.
- ~~1.7.~~1.5. The dedicated code of practice on advocacy under Part 10 of the 2014 Act sets out ~~the functions~~ when a local authority, in partnership with the individual, ~~it~~ **must** reach a judgement on how advocacy could support the determination and delivery of an individual’s personal outcomes; together with the circumstances when a local authority **must** arrange an independent professional advocate. Professionals and individuals **must** ensure that judgements about the needs for advocacy are integral to the relevant duties under this code.

The legal framework for special guardianship

1.8.1.6. A special guardianship order is a court order appointing a person or persons to be a child's special guardian. It grants the special guardian parental responsibility for virtually all decisions affecting the child, and limits the rights of birth parents to intervene or challenge the order without leave of the court. Special guardianship provides a legal status that offers greater security than long term fostering, but without the absolute legal break with the child's birth family that is associated with adoption.

~~Special guardianship orders were introduced in England and Wales in December 2005, as a new permanence option for children. A new legal order was considered necessary to meet the needs of a significant number of children who could not be brought up by their parents: these included older children who had become separated from their birth families; children already settled with a relative or foster carer; children from minority ethnic groups which have cultural difficulties with adoption; and unaccompanied asylum seeking children who may need a secure legal basis without breaking the strong attachment they may have with their family abroad. Over time there has been a gradual shift in the use of special guardianship orders. For example, there has been an increase in the number of special guardianship orders being awarded in relation to much younger children, and an increase in the number of special guardianship orders awarded together with a supervision order. This has had implications for the way local authorities assess the suitability and viability of special guardianship arrangements, with local authority assessments placing more emphasis on the capacity of special guardians to care for the child over the longer term, and on managing the potential risk of harm.~~

1.7. Special guardianship orders were introduced in England and Wales in 2005 to provide a secure and permanent legal arrangement for children who cannot live with their birth parents but for whom adoption may not be appropriate. Initially designed for older children such as those already living with relatives or foster carers, children from minority ethnic backgrounds which have cultural difficulties with adoption; and unaccompanied asylum-seeking children who may need a secure legal basis without breaking the strong attachment they may have with their family abroad, special guardianship orders have since become a widely used option for achieving permanence. Over time, their use has shifted significantly. They are now commonly granted for younger children, often alongside a supervision order to provide additional oversight and support.

~~Originally intended for older children, those already living with relatives or foster carers, children from minority ethnic backgrounds, and unaccompanied asylum seeking children, special guardianship orders have become a widely used permanence option.~~

1.8. This updated approach reinforces the responsibility on local authorities to ensure that assessments of prospective special guardians are comprehensive and forward-looking. Assessments should consider the individual's capacity to provide safe and stable care throughout the child's minority and beyond, as well as their ability to manage risks and meet the child's emotional and developmental needs. Planning should also set out clear arrangements for ongoing support, including financial assistance, therapeutic services and access to specialist advice

1.9. This updated approach reinforces the responsibility on local authorities to ensure that assessments of those seeking to be prospective special guardians are thorough and forward-looking. Assessments must consider the special guardian's ability to provide safe and stable care throughout the child's minority and beyond, as well as their capacity to manage risks and meet the child's emotional and developmental needs. Planning should include clear arrangements for ongoing support, including financial assistance, therapeutic services, and access to specialist advice. Local authorities should adopt trauma-informed approaches and ensure that support is sustained to prevent placement breakdown and re-entry into care. These expectations reflect current statutory requirements under the Social Services and Well-being (Wales) Act 2014 and best practice guidance, including recommendations from the Public Law Working Group.

## Primary and secondary legislation

1.10.2.1 Special guardianship orders were introduced into the Children Act 1989 by the Adoption and Children Act 2002. Section 115(1) of the 2002 Act inserted new sections 14A-F into the Children Act 1989. The new sections set out:

- who may apply for a special guardianship order
- the circumstances in which a special guardianship order may be made
- the nature and effect of special guardianship orders
- the variation and discharge of special guardianship orders; and
- support services for those affected by special guardianship.

1.11.2.2 These sections of the Children Act 1989 came into force on 30 December 2005, and remain the legal basis for special guardianship orders in Wales.

1.12.2.3 The Special Guardianship (Wales) Regulations 2005<sup>1</sup> ('the Regulations') make the following provisions about special guardianship:

- the matters a local authority **must** deal with in a report to the court when the authority (a) receives notice of a person's application for a special guardianship order, or (b) has been requested by a court to conduct an investigation and prepare a report
- provision of special guardianship support services
- the circumstances in which financial support can be paid
- the persons who are entitled to an assessment of their needs for special guardianship support services, and the procedure for an assessment
- determining the amount of financial support
- the support services they propose to provide following an assessment
- special guardianship support services plan
- review of provision of special guardianship support services.

The Regulations will be amended from 2 July 2018 by the Special Guardianship (Wales) (Amendment) Regulations 2018, supported by this code of practice. The main changes are as follows.

1.13.2.4 The Special Guardianship (Wales) (Amendment) Regulations 2018 came into force on 2 July 2018 and continue to apply, supported by this Code of Practice, which sets out the requirements for local authorities in relation to assessment, planning, and support for special guardianship arrangements.

- ~~Additional matters have been added to the list of matters which **must** be considered and included in the local authority's report to the court about the proposed special guardianship arrangement. These give a more holistic assessment of the proposed arrangement, including any risk factors and how these will be addressed, to reinforce the aim of ensuring that the arrangement effectively supports the child up to the age of 18. (See chapter 2.)~~
- ~~Where a child was looked after immediately before a special guardianship order was made, the local authority which looked after the child will now remain responsible for meeting any special guardianship support needs for a period of three years after the order is made, regardless of where that child is living. The authority will also have to notify the authority for the area where the child is living of any continuing support needs as that period comes to a close. This does not apply to ongoing financial support which was agreed before the special guardianship order was made, which will remain the responsibility of the original local authority. (See chapter 11.)~~
- ~~This code of practice places a new requirement upon local authorities to make certain categories of people aware of their entitlement to request an assessment of their need for special guardianship support services. These include special guardians, prospective special guardians, children subject to special guardianship arrangements, and children of special guardians. (See chapter 4.)~~

## An overview of special guardianship orders

1.13.42.4 A family court may make a special guardianship order in respect of a child on the application of a person or persons who fall within the categories set out in section 14A(5) of the Children Act 1989 (see chapter 2 of this code). Any other person requires the leave of the Court to make an application for a special guardianship order~~n SGO (section 14(3)(b)). Section 14A (3) of the children Act 1989 provides that the Court may make a special guardianship order~~SGO in respect of a child on the application of an individual who is entitled to make an application (i.e. those listed in subsection (5)) or who has obtained the leave of the Court to make an application.

1.14.52.5 The court may also make a special guardianship order in any family proceedings concerning the welfare of a child if they consider an order should be made. This applies even where no application has been made, and includes adoption proceedings.

1.15.5-6 Any person who wishes to apply for a special guardianship order **must** give three months' written notice to the local authority of their intention to apply. The only exception to this is where a child is subject to a placement order, and an application has been made for an adoption order. In these circumstances the leave of the Court is required to make an application for a special guardianship order, the requirement to provide '3 months' written notice to the local authority does not apply. However, the applicant must still give written notice of their intention to make an application, and the duty on the local authority to complete an assessment of their suitability remains. ~~person has the leave of the court to make a competing application for a special guardianship order where an application for an adoption order has already been made.~~ This is in order to prevent the competing application delaying the adoption order hearing.

1.16.6 On receipt of an application, or if the court makes a request, the local authority **must** investigate and prepare a report to the court that deals with:

- ~~among other issues,~~ the suitability of the applicants to be special guardians;
- the matters listed within Schedule 1 of the Regulations; and
- any other matter which the local authority considered relevant. ~~The information to be included in the report to the court is set out in the schedule of the Regulations.~~

The court may not make a special guardianship order unless it has received the report covering the suitability of the applicants.

1.17.7 Where there are other reports that cover part of the required information, a court should order a report to cover only the missing information, and the local authority should cross reference to the information already gathered to avoid duplicating work.<sup>2</sup>

## Care, contact and child arrangements orders

1.18.8 Where a child who is the subject of a care order or interim care order subsequently becomes the subject of a special guardianship order, the care order will be discharged. Where a care order is made in relation to a child who is already the subject of a special guardianship order, the special guardianship order will remain in place unless discharged by the court when the care order is made.

1.19. Before making a special guardianship order, the court **must** consider whether to vary or discharge any other existing order made under section 8 of the Children Act 1989. This could include a child arrangements order specifying who the child is to spend time with. The court should also consider whether a child arrangements order should be made at the same time as the special guardianship order.

1.20.0 When making the special guardianship order, the court may also give leave for the child to be known by a new surname, or for the child to be taken out of the and give permission for the child to be taken out of the UK for a period of three months or



longer without either the written consent of every person who has parental responsibility for the child or the leave of the court. periods of longer than three months.

## Parental responsibility

1.21.4 The special guardian will have parental responsibility for the child. Subject to any later order in respect of the child under the Children Act 1989, the special guardian may exercise parental responsibility to the exclusion of others with parental responsibility apart from another named special guardian. However, this does not affect the operation of any enactment or other rule of law which requires every person with parental responsibility to consent on a particular matter. An exception applies in circumstances where the law provides that the consent of more than one person with parental responsibility is required (for example, the sterilisation of a child). The intention is that the special guardian will have clear responsibility for all the day to day decisions about caring for the child or young person and their upbringing. Unlike adoption, the order retains the basic legal link with the parents. They remain legally the child's parents, though their ability to exercise their parental responsibility is limited. They retain the right to consent or not to the child's adoption or placement for adoption. The special guardian **must** also take reasonable steps to inform the parent if the child dies.

1.22.2 While a special guardianship order is in force, parental consent of every person who has parental responsibility for the child or the leave of court **must** be given to cause the child to be known by a different surname, or to remove the child from the United Kingdom for a period of three months or longer. ~~longer than three months.~~

## Variation or discharge of a special guardianship order

1.23.3 Unlike adoption orders, special guardianship orders can be varied or discharged either on the application of:

- the special guardian/s
- any parent or guardian of the child concerned
- any individual who is named in a child arrangements order as a person with whom the child is to live
- any other individual who has parental responsibility for the child, or who had parental responsibility immediately before the special guardianship order was made
- the child in the special guardianship arrangement, if the court is satisfied that the child has sufficient understanding to make the application
- a local authority designated in a care order with respect to the child.

1.24.4 The following people **must** obtain the leave of the court before making an application to vary or discharge the special guardianship order: the child, a parent or guardian, a step-parent who has acquired parental responsibility, or an individual who immediately before the making of the special guardianship order had parental responsibility for the child.



1.25.5 Where the applicant is not the child and the leave of the court is required, the court may only grant leave if there has been a significant change in circumstances since the special guardianship order was made.

1.26.6 The court may, during any family proceedings in which a question arises about the welfare of a child who is subject to a special guardianship order, vary or discharge the order in the absence of an application.

## Support services

1.27.7 Local authorities are required to make arrangements for the provision of special guardianship support services. These include counselling, advice, information and such other services (including financial support) as are prescribed in the Regulations (see chapter 3 of this code). The Regulations also provide for the assessment of needs for special guardianship support services, and the planning and reviewing of those support services.

## Children's rights

1.28.8 When considering whether to make a special guardianship order, the welfare of the child is the court's paramount consideration and the checklist in section 1(3) of the Children Act 1989 applies.

1.29. When exercising their social services functions in respect of special guardianship, local authorities **must** have regard to their overarching duties to have due regard to Part 1 of the United Nations Convention on the Rights of the Child (UNCRC). They **must** also promote the well-being of children and special guardians in line with the national [well-being](#) outcomes framework published in 2022<sup>16</sup>. ~~(Well-being in this context includes 'welfare' as that word is interpreted in the Children Act 1989.)~~ Well-being has the same meaning as in section 2 of the Social Services and Well-being (Wales) 2014 Act-2014. The definition in ssection 2 relation includes 'welfare' as interpreted for the purposes of the Children Act 1989, in so far as it relates to a child.

1.30.9 The table that follows sets out the rights-based outcomes that should be achieved for children subject to special guardianship orders, linked to the relevant UNCRC articles. These are also linked to the national well-being outcomes defined in section 2 of the 2014 Act and which form the basis of the national outcomes framework. The table also shows the welfare 'checklist' included in the Children Act 1989 – the matters the family court **must** have regard to when deciding whether to make a special guardianship or any other order in respect of a child.

1.31.9 In implementing this code of practice, local authorities must ensure that they strive to achieve these outcomes and measure the success of their special guardianship policies and procedures against them.

| <b>Rights-based outcomes</b><br><i>Relevant UNCRC Article</i>  | <b>National well-being outcomes</b><br><i>'What well-being means' definitions from section 2 of the Social Services and Well-being (Wales) Act 2014)</i>   | <b>Welfare of the child</b><br><i>Section 1 of the Children Act 1989, including matters a court shall have regard in particular to</i>   |
|--|--|--|
| <a href="#">Special Guardianship Orders</a> are applied for and made in the best interests of the child or young person. The child's best interests are the paramount concern when assessments are made.<br><b>Article 18</b>  | My individual circumstances are considered.<br><i>Securing rights and entitlements</i>   | When a court determines any question with respect to the upbringing of a child ... the child's welfare shall be the court's paramount concern.<br><b>Section 1(1)</b>  |
| Special Guardians (and birth parents) fully understand how the <a href="#">Special Guardianship Order</a> promotes and contributes to the child's upbringing and development, and understand their role and responsibilities in promoting the child's best interests.<br><b>Article 18</b> | I get the support I need to grow up and be independent.<br><i>Social and economic well-being</i><br><br>I live in a home that best supports me to achieve my well-being.<br><i>Suitability of living accommodation</i> |  |
| The child or young person is supported by their Special Guardian to maintain family ties, including personal relations and direct contact with their birth parents (subject to any restrictions imposed by the courts).<br><b>Articles 8 and 9</b>   | I belong.<br><br>I contribute to and enjoy safe and healthy relationships.<br><i>Domestic, family and personal relationships</i>   |  |
| Special Guardians are supported in safely promoting and supervising contact between the child and their birth parents, including support with managing relationships and potential conflict.<br><b>Articles 8 and 9</b>  | I belong.<br><br>I contribute to and enjoy safe and healthy relationships.<br><i>Domestic, family and personal relationships</i>   |  |
| So far as possible, the Special Guardianship <a href="#">Order arrangement</a> provides the child or young person with a degree of continuity in their upbringing, in line with their ethnic, cultural and linguistic background.<br><b>Article 20</b>                                     | My individual circumstances are considered.<br><i>Securing rights and entitlements</i>   | The court shall have particular regard to the likely effect on the child of any change in his or her circumstances.<br><b>Section 1(3)(c)</b><br><br>The court shall have particular regard to the child's age, sex, background and any characteristics of his |

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|   |   | which the court considers relevant.<br><b>Section 1(3)(d)</b>  |
| A child or young person in whose best interests a <a href="#">Special Guardianship Order SGO</a> is made experiences continuity of care up to the age of 18.<br><b>Article 20</b>   | I belong.<br><i>Domestic, family and personal relationships</i><br><br>I get the support I need to grow up and be independent.<br><i>Social and economic well-being</i>   |  |
| The child or young person is able to freely express their views at every stage, including local authority assessments and the court process, and is supported in making their views known.<br><b>Article 12</b>   | My voice is heard and listened to.<br><br>I speak for myself and contribute to the decisions that affect my life, or have someone who can do it for me.<br><i>Securing rights and entitlements</i>  | The ascertainable wishes and feelings of the child concerned (considered in the light of his age and understanding).<br><b>Section 1(3)(a)</b> |
| The child or young person's views are given due weight when decisions are made.<br><b>Article 12</b>  | My voice is heard and listened to.<br><i>Securing rights and entitlements</i>   | The ascertainable wishes and feelings of the child concerned (considered in the light of his age and understanding).<br><b>Section 1(3)(a)</b> |
| The child or young person receives appropriate information about the proposed Special Guardianship <a href="#">Order - arrangement</a> , suitable to their age and level of understanding, including: <ul style="list-style-type: none"> <li>- the reason why they cannot continue living with their parents</li> <li>- the long-term nature of the arrangement (up to age 18)</li> <li>- the practical implications of such an arrangement (e.g. on parental responsibility)</li> <li>- contact arrangements with birth parents and how this will be facilitated</li> <li>- the support that will be available.</li> </ul> <b>Article 13</b> | I know and understand what care, support and opportunities are available and use these to help me achieve my well-being.<br><br>I can access the right information, when I need it, in the way I want it and use this to manage and improve my well-being.<br><i>Securing rights and entitlements</i> |  |

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| <p>Special Guardians receive the support, facilities and services they need in caring for the child or young person.<br/><b>Article 18</b></p>   | <p>I get the right care and support, as early as possible.<br/><i>Physical and mental health and emotional well-being</i></p> <p>I know and understand what care, support and opportunities are available and use these to help me achieve my well-being.<br/><i>Securing rights and entitlements</i></p> |  |
| <p>Children and young people who have suffered abuse or neglect, and their Special Guardians, receive the support they need, and appropriate measures are in place to manage risk and ensure the child is brought up in a safe and secure environment.<br/><b>Article 19</b></p>   | <p>I am safe and protected from abuse and neglect.</p> <p>I [the Special Guardian] am supported to protect the people that matter to me from abuse and neglect.</p> <p>I am informed about how to make my concerns known.<br/><i>Protection from abuse and neglect</i></p>                                | <p>The court shall have particular regard to any harm the child has suffered or is at risk of suffering.<br/><b>Section 1(3)(e)</b></p>  |
| <p>Children who have suffered any form of neglect, exploitation or abuse receive appropriate support to promote their physical and psychological recovery, and the <a href="#">Special Guardianship Order</a><del>SGO</del> arrangement provides the child with an environment which fosters their health, self-respect and dignity.<br/><b>Article 39</b></p> | <p>I get the right care and support, as early as possible.<br/><i>Physical and mental health and emotional well-being</i></p>   | <p>The court shall have particular regard to the child's physical, emotional and educational needs.<br/><b>Section 1(3)(b)</b></p> <p>The court shall have particular regard to any harm the child has suffered or is at risk of suffering.<br/><b>Section 1(3)(e)</b></p> |
| <p>Special Guardians receive appropriate financial support, including allowances and support to claim any welfare benefits to which they are entitled, to support the child's upbringing and development.<br/><b>Articles 26 and 27</b></p>  | <p>I do not live in poverty.<br/><i>Social and economic well-being</i></p>  |  |
| <p><a href="#">Special Guardianship Order</a><del>SGOs</del> arrangements, including support to the child and the Special Guardian, are subject to periodic review, especially where the child or young person was formerly looked after (or</p>   | <p>My individual circumstances are considered.<br/><i>Securing rights and entitlements</i></p>  |  |

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| would have become looked after if the <a href="#">Special Guardianship Order</a> <del>SGO</del> had not been made). |  |  |
| <b>Article 25</b>   |  |  |

## 2. Assessment of suitability and reports to the court

- 2.1. A local authority has a duty to investigate the suitability of an individual to be a special guardian, and prepare a report for the family court, on receipt of notice of an application or if requested ~~to~~ by the court. This chapter sets out a local authority's responsibilities in respect of assessments of suitability and what **must** be included in the report.

### Applications for special guardianship orders

- 2.2. Applications for a special guardianship order may be made by an individual or jointly by two or more people. Joint applicants do not need to be married to or in a civil partnership with each other. Any person who is aged 18 or over and is not the parent of the child who is subject to the application can apply for a special guardianship order. ~~Special guardians must be 18 or over. The parents or step-parent of a child may not become that child's special guardian.~~
- 2.3. A court may make a special guardianship order in respect of the child on the application of:

- any guardian of the child
- a local authority foster carer with whom the child has lived for one year immediately preceding the application
- a relative with whom the child has lived for a period of at least one year immediately preceding the application
- anyone who is named in a residence or child arrangements order as a person with whom the child is to live, or who has the consent of all those in whose favour such a residence or child arrangements order is in force
- anyone with whom the child has lived with for a period of at least three years (this need not be continuous, but must not have begun more than five years before, or ended more than three months before, the making of the application) ~~for three out of the last five years~~
- where the child is in the care of a local authority, anyone with the consent of the local authority
- anyone who has the consent of all those with parental responsibility for the child
- any person who has the leave of the court to apply.

- 2.4. Any person who wishes to apply for a special guardianship order **must** give three months' written notice to the local authority of their intention to apply. The only exception to this is where a person has the leave of the court to make a competing application for a special guardianship order where an application for an adoption order has already been made. This is in order to prevent the competing application delaying the adoption order hearing. In this instance, written notice remains a

requirement, as does the obligation for the local authority to complete a special guardianship report.

2.4.

2.5. If the child is being looked after by a local authority, the applicant **must** give the written notice to the authority that is looking after the child (i.e. the child's corporate parent). If the child is not looked after by a local authority, the applicant **must** give written notice to the local authority in whose area the applicant is ordinarily resident.

2.6.- The court may also make a special guardianship order in any family proceedings concerning the welfare of a child if they consider an order should be made. This applies even where no application has been made, and includes adoption proceedings.

## Foster parents

2.7. Where foster parents are considering becoming special guardians for a child who is placed with them, or where the local authority considers that converting a foster placement into a special guardianship arrangement would be in the child's best interests, the local authority **must** ensure that the foster parents receive appropriate information and advice to help them make a decision. This should include information about the nature and purpose of special guardianship as a permanence option for a child, and about the support and allowances that are available both when the special guardianship order is made and as the child grows older. Foster parents should be allowed sufficient time to consider the implications of transferring to a special guardianship arrangement. Where appropriate, the local authority should signpost the foster parents to sources of independent advice.

## Assessment of special guardianship suitability

2.8. On receipt of the written notice, or if the court makes a request, the local authority **must** investigate and prepare a report to the court that deals with, among other issues, the suitability of the applicants to be special guardians.

2.9. Where there is no application, the court may nevertheless consider that a special guardianship order should be made, and direct the appropriate local authority to prepare a report on a person's suitability to be a special guardian within a specified timeframe.

2.10. The information required for the local authority suitability report is the same whether there is an application or the court has asked the local authority to prepare a report. The court may not make a special guardianship order unless it has received the report covering the suitability of the applicants. Where there are other reports that cover part of the required information, a court should order a report to cover only the missing information and the local authority LA should cross reference to the information already gathered to avoid duplicating work<sup>3</sup>.



- 2.11. Responsibility for preparing the report rests with the authority to which the application is made – i.e. in the case of a looked after child, this will be the authority which is looking after the child (the child's corporate parent); and in any other case, the local authority where the applicant is ordinarily resident (regardless of where the child is currently living).
- 2.12. As part of its investigation and preparation of the report, the responsible local authority may need to seek information from another authority – for example, where the local authority is preparing a [suitability](#) report in respect of a child it is looking after and the prospective special guardian<sup>4</sup> lives in the area of another local authority. Local authorities **must** co-operate fully, where necessary, in the investigation and preparation of the [suitability](#) report for the court. It may also be necessary for the local authority to contact local health services in order to prepare a comprehensive report.
- [2.13.](#) When a local authority receives notice from an applicant or a request from the court, it **must** send written information to the prospective special guardian and the parents of the child, setting out the steps it proposes to take in preparing the [suitability](#) report.
- [2.13-2.14.](#) In all cases, the local authority **must** make arrangements to accurately ascertain and report on the child's wishes and feelings about the proposed special guardianship arrangement. This will be especially important in cases where there is no children's guardian appointed by the court.
- [2.14-2.15.](#) Under section 14A(10) of the Children Act 1989, local authorities are able to make such arrangements as they see fit for any person to act on [their](#)~~its~~ behalf in connection with conducting an investigation or preparing the [suitability](#) report for the court. Local authorities **must** consider how best to exercise this power to facilitate the investigation and timely preparation of the report to the court.
- [2.15-2.16.](#) ~~The local authority may arrange for someone else to carry out the investigation or prepare the report on its behalf.~~ Local authorities **must** ensure that the person who conducts the investigation and prepares the report to the court is suitably qualified and experienced, or is supervised by someone who is. Where the prospective special guardian is a member of the child's extended family, this should include experience of kinship assessments.
- [2.16-2.17.](#) The assessment of suitability **must** be robust, objective and inquiring. Information received from and about the prospective special guardian **must** be analysed and evaluated carefully, and its accuracy and consistency checked. The welfare of the child **must** be the paramount consideration, and it is vital that the background of prospective special guardians is checked thoroughly. As special guardianship orders are a permanence option, expected to remain in place until the child turns 18, it is vital that the assessment considers the ability of the prospective special guardian to meet the child's needs at the time ~~of~~ the order is made and in the future (with an appropriate support package, as required).

<sup>4</sup> In accordance with the definition in section 1 of the [Special Guardianship \(Wales\) Regulations 2005](#)

2.17-2.18. The **suitability** report to the court **must** also contain the results of any assessment for special guardianship support services.

2.19. Special guardianship arrangements do not provide for a settling in period, as happens in cases of adoption, reflecting the expectation that the child and special guardian already have an established relationship and know each other well. However, the changing use of special guardianship orders, especially for younger children or where children would otherwise be taken into care, means that this is not always the case. The local authority **must** give careful consideration to the nature of the existing relationship between the child and prospective special guardian, and what support might need to **be** put in place to help consolidate and build up the relationship. Where the child is not already living with the prospective special guardian, the local authority **must** consider how it can best support the child to make the transition to his or her new home and family environment. The needs of other family members, such as the prospective special guardian's own children, **must** also be taken into account when helping the family make the transition to the new arrangement. Assessments **must** also consider wider family relationships and the nature of the child's contact with his or her birth parents, grandparents and siblings, and the ability of the prospective special guardian to manage these (with support, as necessary).

2.18-2.20. Local authorities **must** wherever possible ensure that they allow sufficient time to undertake robust and reflective quality assessments. Many relatives who are put forward or who emerge as potential special guardians during court proceedings do not have sufficient knowledge of what special guardianship involves, and have not had enough time or support to consider the demands of being a special guardian and the level of commitment required over many years. Many will never have considered taking on (or taking on again) a parenting role. In addition, prospective special guardians may not have been aware of the harm suffered by the children and may need time to fully come to terms with this and the protective actions that may be needed in future in any contact with the child's parents.

2.19-2.21. Early intervention and identification of potential kinship carers will help ensure that local authorities have sufficient time to fully explore these issues and undertake quality assessments. Local authorities **must**, wherever possible, engage with families at an early stage, to agree what permanency options may be available, and ensure that families fully understand the need to nominate alternative carers within the family. Family meetings at this stage can help families begin to address the complexities of managing contact and the financial and practical support that might be needed. This can prevent delays later on when legal proceedings are instigated. Local authorities **must** ensure they have arrangements in place to facilitate family meetings at an appropriate stage.

2.20-2.22. Many local authorities undertake initial assessments (also known as viability assessments) to determine which members of a child's family and friends network are a potentially realistic option to care for a child, and who should therefore be subject to a full assessment as a potential kinship carer. Although not statutory, viability assessments are used by many local authorities to identify potential special

guardians. A practice guide was first produced by the Family Rights Group in 2017 and republished in 2022<sup>5</sup>.

~~2.21.2.23.~~ Where the court directs an authority to prepare an assessment, the time frame for completing the assessment will be set by the court. There is a statutory 26-week time-limit for completion of all but exceptional care and supervision proceedings, ~~which may impact upon the time allowed~~<sup>6</sup>. ~~However, the court has the discretion to extend the time limit, where 'necessary to enable the court to resolve the proceeding justly'. As an example of when an extension may be considered necessary is when a prospective carer emerges late in the day.~~<sup>7</sup> ~~In a case dating from 2014, the President of the Family Division of the High Court of England and Wales, identified three forensic contexts where an extension beyond 26 weeks may be 'necessary' within the meaning of the legislation. One of these was an unexpected event including 'cases where a realistic alternative family carer emerges late in the day'. The actual timeframe set for an assessment remains at the judge's discretion in each case.~~

## Content of reports

~~2.22.2.24.~~ The local authority suitability report **must** cover:

- the suitability of the prospective special guardian
- matters prescribed by the Regulations
- any other information the local authority considers to be relevant
- (where appropriate) support needs and how they will be met
- the reasons why a local authority has decided not to provide special guardianship support.

~~2.23.2.25.~~ The prescribed matters include:

- information about the child
- information about the child's family
- information on the prospective special guardian/s and their household
- information on the local authority.

~~2.24.2.26.~~ The prescribed matters are set out in full in the Schedule to the Regulations ~~as amended by the 2018 Regulations~~, and local authorities **must** refer to this when undertaking assessments and preparing their reports. For convenience, the matters are listed in **Annex 1** of this code.

~~The suitability report must also include, in respect of the prospective special guardian and any other member of the special guardian's household who is aged 18 or over, an enhanced criminal record certificate issued under section 113B of the Police Act 1997 which includes suitability information relating to children.~~

<sup>5</sup> Initial Family and Friends Care Assessment: A good practice guide ~~2022 (developed by the Family Rights Group in partnership with an expert working group, 2017).~~

<sup>6</sup> The statutory 26-week time-limit for completion of all but exceptional care and supervision proceedings was introduced on 22 April 2014, alongside an updated Public Law Outline, under the Children and Families Act 2014.

<sup>7</sup> Family Justice Council: Interim Guidance on Special Guardianship (see paragraph 6 in particular) Re S [2014] EWCC B44 (Fam)

2.27.8 The report **must** include, in respect of the prospective special guardian and any other member of their household aged 18 or over, an Enhanced Disclosure and Barring Service (DBS) check. This must be an Enhanced Criminal Record Certificate issued under section 113B of the Police Act 1997, including suitability information relating to children as required by section 113BA. These checks are essential to ensure that all adults in the household are assessed for any information relevant to safeguarding and child protection.

2.28.9 The Schedule to the Regulations also sets out the considerations the local authority **must** include in the report's conclusions. These are also set out in Annex 1 to this code.

### 3. Provision of support services

- 3.1. This chapter sets out the special guardianship support services that local authorities **must** make arrangements to provide. Provision of these services to any individual will be subject to an assessment of need for support (see chapter 4 of this code).

#### Information, advice and assistance

- 3.2. Many special guardians will simply need information or advice to help them care for the child in their care, or signposting to other sources of advice and assistance. Local authorities in Wales have a duty to secure an information, advice and assistance service that provides local people with accessible information, advice and assistance to enable them to make plans to meet their care and / or support needs now and in the future. This includes the types of care and support available, and how individuals can access them.
- 3.3. When securing their information, advice and assistance service, local authorities should consider the needs of special guardians and prospective special guardians. Local authorities **must** provide accessible and publicly ~~ly~~ available information about special guardianship (including easily accessible web-based material) for children and young people, special guardians and prospective special guardians. The information, advice and assistance service **must** also be able to signpost them to accessible and independent sources of information and advice.

#### Support services to be provided

- 3.4. The Regulations prescribe the specific support services which local authorities **must** make arrangements to provide to special guardians, children and other people involved in special guardianship arrangements. Local authorities do not have to provide these services direct, but can arrange for them to be delivered in partnership with other agencies, as appropriate. The prescribed services are listed below.

#### Financial support

- (a) Financial support for special guardians and prospective special guardians ~~those who wish to be special guardians~~. See chapter 5 of this code for the circumstances in which financial support may be paid.

#### Peer Support

- (b) Services to enable children, their parents and special guardians (or prospective special guardians), who are or may shortly become involved in special guardianship arrangements, to discuss matters relating to special guardianship. These services can include support groups, social media groups and newsletters.

## Contact

- (c) Assistance in relation to contact between children who are, or may soon be, involved in special guardianship arrangements and a parent or relative or any person with whom the child has had an important relationship.

Because special guardianship orders do not break the relationship between the birth parents and the child, special guardians have to know how to manage contact arrangements between the child and his or her family, and may need training and support in how to deal with any issues or conflict that may arise. This support may include mediation and the use of contact centres.

## Therapeutic services

- (d) Services provided for the therapeutic needs of children who are, or may shortly become involved in, special guardianship arrangements. Arrangements to provide this type of support are likely to be made with NHS or other providers.

## Assistance to promote relationship stability

- (e) Assistance to ensure the continuance of the relationship between a child who is, or may shortly become, involved in special guardianship arrangements and their special guardian (or prospective special guardian).

The legislation particularly mentions training for the (prospective) special guardian, and short breaks. Training may include parenting classes, or courses aimed at understanding and dealing with particular behavioral or developmental issues pertinent to the particular child. A short break may be necessary to give the child an opportunity to have a short break for educational or recreational purposes, or to give the child's carer a break from caring. The assistance provided will depend on the nature of the assessed need and the particular circumstances of the child and special guardian.

## Mediation

- (f) Where the relationship between a child and a special guardian is in danger of breaking down, assistance to prevent that occurring, including:
- mediation – for example, around issues of contact between the child and birth parents or other family members
  - meetings between appropriate persons to address the relationship difficulties.

## Planning and arranging provision

- 3.5. Special guardianship support services **must not** be seen in isolation from mainstream services. Local authorities **must** ensure that the needs of special guardians, and children and families involved in special guardianship arrangements, are included in the planning and provision of mainstream services

provided for children, young people and families with particular needs. These include health services, including child and adolescent mental health services; education provision, including those to meet additional learning needs; housing; and information, advice and assistance.

- 3.6. Local authorities should also take into account the delivery of similar services such as adoption support services, and plan the provision of support services accordingly.
- 3.7. In planning special guardianship support services, local authorities **must** bear in mind that the children subject to the special guardianship orders, although not looked after children, share many of the same characteristics as the looked after population. Some will be former looked after children, others will have been on the edge of care or come from families in crisis, and many will have experienced adverse childhood experiences, often related to abuse or neglect.
- 3.8. Local authorities **must** ensure that special guardians and the children they care for are involved in the planning of local care and support services.
- 3.9. Although local authorities are responsible for arranging provision of special guardianship support services, the local authority does not have to provide the service itself. The Regulations set out the range of providers that local authorities may delegate or contract out provision of special guardianship services to:
  - another local authority
  - an adoption support agency
  - a Local Health Board, National Health Service Trust or [integrated care boards and/or NHS England](#)~~(in England) clinical-~~commissioning groups and the NHS Commissioning Board
  - a voluntary adoption agency
  - an independent fostering agency.
- 3.10. The voluntary and independent sectors may also have an important role to play in providing special guardianship support services. In developing support services, local authorities are encouraged to work with existing non-statutory providers of high quality support services to take advantage of the expertise that they have in this area and avoid supplying a service to the same person twice.



## 4. Assessment for special guardianship support services

- 4.1. This chapter sets out the arrangements for assessing the needs of children, special guardians and prospective special guardians, and other family members, for special guardianship support services.

### People entitled to an assessment

- 4.2. At the request of ~~the following persons, the local authority must carry out~~ ~~people are entitled to request~~ an assessment of that person's ~~their~~ needs for special guardianship support services ~~(in public or private law cases)~~:

- ~~• a relevant child<sup>8</sup>; subject to a special guardianship order or a parent of such a child;~~
- ~~• a child in respect of whom a person has given notice to a local authority of his or her intention to apply for a special guardianship order or a parent of such a child;~~
- ~~• a child in respect of whom the court has required a local authority report or a parent of such a child;~~
- a child who is the subject of, or is named in, a local authority report for the court
- a special guardian or prospective special guardian;
- a child of a special guardian or prospective special guardian
- a relative or any other person with whom the child has a relationship which appears to the local authority to be beneficial to the child's welfare, provided that before the request for assessment, was made arrangements were in place for contact between the person and the child. ~~of a child falling within the first three bullet points providing contact arrangements were in place before the request for an assessment.~~

- 4.3. A local authority must make all of the above aware of their entitlement to request an assessment for special guardianship support services at every appropriate stage (i.e. when an application is made, and again once the special guardianship order is in place). A local authority may also, at the request of any other person, carry out an assessment of that person's needs for special guardianship support services.

- 4.3.4.4. Not all special guardians or prospective special guardians or families will want an assessment – for example, where the child is already living with the family member ~~of or~~ with a foster carer who is seeking to become the child's special guardian – but the local authority must always make them aware of their entitlement as soon as they receive an application.

- 4.4.4.5. Ensuring that the support needs of the child and ~~for the special guardian or~~ prospective special guardian are fully understood and will be met will be an

important consideration when making a recommendation about the suitability and likely success of the special guardianship arrangement.

4.5.4.6. Where an assessment is not requested, the local authority **must** record this fact in the report to the court and give the reasons. Where no assessment has been requested, and a special guardianship order is subsequently made, the local authority **must** inform the child and special guardian of their entitlement to ask for an assessment should their circumstances change. This will be particularly important in the case of younger children where the special guardianship order may last for several years up to the age of 18. It allows these children, their special guardians and other family members to request an additional assessment for additional support as their relationships or family circumstances develop or change over time.

4.6.4.7. The Regulations allow the local authority to limit the assessment to the need for a particular special guardianship support service if:

- the person requesting the assessment has requested a particular special guardianship support service, or
- it appears to the local authority that the person's needs may be adequately assessed by reference only to a particular support service.

4.7.4.8. However, local authorities should take a flexible and holistic approach to assessment. If it becomes clear, during the assessment for a particular service, that the child or special guardian has other support needs, the local authority should take the necessary steps to ensure that the person's needs are appropriately assessed and met. This may involve referring the person to the local authority's Information, Advice and Assistance Service, widening the assessment to include the need for other special guardianship support services, and / or assessing the person's needs for care and support ~~needs~~ under Part 3 of the ~~Social Services and Well-being (Wales) Act 2014~~ Act.— Where a looked after child becomes subject to a special guardianship arrangement, the relevant parts of the child's Part 6 care and support plan will usually be converted into a Part 4 care and support plan, which will include any arrangements for delivering any special guardianship support services.

## Responsible local authority

4.8.4.9. Where an assessment for support is undertaken alongside the assessment of suitability and preparation of the report for the court, the responsible local authority is the authority to which the special guardianship application was made. In the case of a looked after child, this will be the authority which is looking after the child; and in any other case, the local authority where the applicant is ordinarily resident (regardless of where the child is currently living).

4.9.4.10. Once the special guardianship order has been made, the local authority responsible for carrying out any subsequent assessment for special guardianship support will be:

- where the child was looked after immediately prior to the special guardianship order being made, the local authority which was formerly looking after the child, for the first three years after the order is made
- in all other cases (including where the three year period has expired), the local authority where the child and special guardian are ordinarily resident.

## Assessment procedure

~~4.10.4.11.~~ A local authority **must** ensure that an assessment of a person's needs for special guardianship support services is carried out by, or under the supervision of, an individual who has suitable qualifications, experience and skills for that purpose. The assessment **must** be co-ordinated to ensure that all relevant agencies and individuals are included.

~~4.11.4.12.~~ Section 14F of the Children Act 1989 provides for a local authority to carry out an assessment for special guardianship support at the same time as an assessment of need is carried out under other legislation. This will be particularly important where it is clear that the child has wider care and support needs, or where the special guardian (or prospective special guardian) has support needs, under the Social Services and Well-being (Wales) 2014 Act ~~2014~~ (see the section on 'levels of support' below).

~~4.12.4.13.~~ During the ~~needs assessment~~ assessment of needs for special guardianship support services, the local authority **must** have regard to the following, if relevant:

- the needs of the person being assessed and how these might be met
- the needs of the relevant child and the family members of any special guardian or prospective special guardian
- the circumstances that led ~~up~~ to the making of a ~~-~~special guardianship order
- any special needs of the child subject to the special guardianship order arising from the fact that:
  - ✓ the child has been looked after by the local authority
  - ✓ the child has been habitually resident outside the British Isles
  - ✓ the special guardian is a relative of the child

~~✓ the assessment relates to financial support.~~ where the assessment concerns financial support, ensure that the assessment complies with regulation 7 of The Special Guardianship (Wales) Regulations 2005, which sets the requirements for determining the amount of financial support.

~~4.13.4.14.~~ The local authority **must** interview the person whose needs for special guardianship support services are being assessed, and where that person is a child, **must** also interview the special guardian or prospective special guardian. Where a child's needs are being assessed, the local authority **must** ensure that the child is interviewed in a way that is appropriate to their age and level of understanding, so that the child's views, wishes and feelings are appropriately

ascertained and taken into account. A looked after child **must** be informed of his or her entitlement to independent advocacy.

~~4.14.~~4.15. If it appears to the local authority that there is a pre-existing relationship between the child's parent and the special guardian or prospective special guardian (for example, where the child's special guardian is a relative), the assessment **must** also take into account the likely impact of the special guardianship order on the relationships between the child, the parent and the special guardian or prospective special guardian.

~~4.15.~~4.16. Assessments for special guardianship support services **must** take into account the child's developmental needs, the parenting capacity of the special guardians and consideration of the family and environmental factors that together help to explain the child's life so far and what life might be like with the new family. Past assessments for a child who has previously been looked after by a local authority can help inform the assessment of special guardianship support needs.

~~4.16.~~4.17. After undertaking an assessment of need for special guardianship support services, the local authority **must** prepare a written report of the assessment.

## Meeting care and support needs

~~4.17.~~4.18. Families will have differing levels of need. All families are likely to need information and advice about what special guardianship entails, and signposting to other sources of information, advice and support such as support groups and mainstream services. Providing this advice and information may be all that is required of a local authority in a majority of cases. This will especially be the case where the special guardianship arrangement consolidates an existing well-established relationship (for example, with a kinship carer or a former foster carer), and where the child has no particular care and support needs over and above the parenting that the special guardian provides.

~~4.18.~~4.19. It is vital to ensure that children and those families involved in special guardianship arrangements are assisted in accessing mainstream services, and are aware of their entitlement to social security/welfare benefits and tax credits as appropriate. Local authorities **must** inform special guardians how to access support should circumstances change and additional needs arise, and **must** also ensure that the child or young person knows how to access appropriate support. Arrangements for keeping in touch with children and special guardians, and for providing them with up-to-date information, are discussed in chapter 10 of this code (review of support services).

~~4.19.~~4.20. Some families will have problems of a more complex or serious nature that require a more detailed assessment, involving contributions from other agencies and leading to appropriate plans and interventions. Some children in special guardianship arrangements will have additional care and support needs, and some special guardians may have support needs arising from their role in caring for the child (for example, if the child is disabled or has particular behavioural or emotional needs). In such circumstances, care and support will usually be

provided under the ~~Social Services and Well-being (Wales) Act 2014~~ Act. The codes of practice relating to Parts 3 and 4 of that Act set out the framework for assessing and meeting people's care and support needs, and the support needs of carers. In most cases, a child in a special guardianship arrangement who has care and support needs will also be entitled to an assessment under Part 3 of the 2014 Act, and to a care and support plan under Part 4 of that Act. The special guardian may also be entitled to an assessment for support under the Act.

4.20.4.21. In these circumstances, the local authority should assess the need for special guardianship support services as part of the overall assessment for care and support under Part 3 of the ~~Social Services and Well-being (Wales) Act 2014~~ Act, to ensure that there is a holistic assessment of the child and carer's needs. Where the child has a care and support plan (or the special guardian has a support plan) under Part 4 of the 2014 Act, any special guardianship support services should be included within the overall care and support plan (or carer's support plan), as appropriate – i.e. the plan will be a combined Part 4 care and support plan ~~/support plan~~ and special guardianship support plan. The local authority **must** ensure that it still meets all its legal obligations under the Regulations, including the provisions in relation to notification and representations (see chapters 6, 7 and 8).

## 5. Financial support

- 5.1. This chapter sets out the circumstances in which financial support can be paid to special guardians or prospective special guardians, and the factors a local authority **must** take into account when determining the amount of any financial support. [The assessment of financial support forms part of the assessment of special guardian support services.](#)

### Circumstances in which financial support can be paid

- 5.2. Local authorities **must** consider, assess, pay and monitor financial support for special guardianship families in accordance with the Regulations. These provisions are intended to give local authorities greater flexibility to respond to the individual needs of a child and his or her circumstances, and to ensure that special guardians receive appropriate financial support (including allowances and support to claim any welfare benefits to which they are entitled) to support the child's upbringing and development.
- 5.3. Financial support can only be paid to a special guardian or prospective special guardian in the following circumstances:

- (a) A child subject to a special guardianship order is living with the special guardian and the local authority consider that financial support is necessary to ensure the guardian can continue to look after the child
- (b) A child in respect of whom a special guardianship order is sought, or in respect of whom a court has required a report, lives with a prospective special guardian and the local authority considers that:
  - it would be beneficial for the child for a special guardianship order to be made
  - financial support is necessary to ensure the prospective special guardian can continue to look after the child pending the court's decision.

This provision allows for financial support where the local authority supports the making of a special guardianship order but considers that the long-term success of the child's relationship with the prospective special guardian is in doubt without the provision of such support while the application is still being considered.

- (c) The local authority considers that:
  - the making of a special guardianship order, or of an order for financial provision to be made to or for the benefit of a child, would be beneficial for a relevant child
  - it is appropriate to contribute to or meet any legal costs, including court fees, of a prospective special guardian or special guardian associated with

seeking a special guardianship order, or an order for financial provision.

(d) A child lives with a special guardian (or prospective special guardian) and the local authority considers the child needs special care which requires greater financial expenditure, due to illness, disability, emotional or behavioural ~~difficulties~~problems or the consequences of past abuse or neglect~~that arise due to the consequences of past abuse or neglect.~~

- 5.4. Before financial support is payable, the special guardians (or prospective special guardians) **must** agree to inform the authority within 7 days (either orally or in writing) ~~immediately~~ if they change their address, the child's home is no longer with them, the child dies or their financial circumstances change.
- 5.5. The local authority **must** terminate financial support with effect from the date that they become aware of any of the following circumstances:
- the special guardianship order has ceased to have effect (for example, because the child has attained the age of 18) or has been revoked by the court
  - the child ceases to have a home with the special guardian or prospective special guardian
  - the child is in receipt of income support or jobseeker's allowance
  - the child has begun full-time paid employment.
- 5.6. Occasional or short periods of temporary absence away from the special guardian's home **must not** be taken into account: for example, in connection with education, short breaks ('respite' care) or hospitalisation. Termination of financial support **must** only happen where the child's departure from the special guardian's home is considered to be permanent.
- 5.7. A local authority **must** review the provision of financial support at least once a year (see chapter 10 of this code). It **must** also undertake a review if it comes to its attention that there has been a relevant change in the circumstances of the person receiving support. In addition, the special guardians **must** inform the local authority if their financial circumstances have changed or the financial needs or resources of the child have changed.

### Determining the amount of financial support

- 5.8. The Regulations set out the factors the local authority **must** take into account in determining the amount of financial support to be awarded. These are listed below:
- (a) The financial resources available to the special guardian or prospective special guardian
- (b) The amount required by the special guardian or prospective special guardian in respect of reasonable outgoings and commitments other than in respect of the relevant child



## (c) The needs and resources of the relevant child

(d) Necessary expenditure on legal costs, including court fees relating to the special guardianship order or an application for financial provision for the relevant child

(e) The costs involved in facilitating the child moving into his or her home with the special guardian. This means the costs of accommodating the child, including:

- furniture
- domestic equipment
- alterations and adaptations to the home
- providing a means of transport
- clothing, toys and any other items necessary to look after the child

(f) The costs associated with any additional learning needs or behavioural needs of the child, including:

- equipment for meeting any special needs
- rectifying damage in the home caused by the child, where these costs arise from the child's additional learning needs or behavioural difficulties
- placing the child in a boarding school, where that is necessary to meet the child's special educational needs
- any other costs of meeting any special needs of the child

(g) The cost of meeting reasonable travel costs for the purposes of the child visiting a parent or relative.

5.9. A child who has an illness, disability, emotional or behavioural difficulties, or who is suffering from the continuing consequences of past abuse or neglect, may require a degree of care and support which necessitates extra expenditure. In these cases, medical and other professionals will have a role in evaluating the effect of the child's condition and in providing advice to the local authority who will in turn notify the special guardian. The local authority is expected to seek specialist medical advice where appropriate.

5.10. Payment of financial support in these cases is intended to be made where the child's condition is serious and long-term. For example, where the child needs a special diet or where items such as shoes, clothing or bedding need to be replaced at a higher rate than would normally be the case with a child of similar age who was not affected by the particular condition. Specialist assistance may be needed to help with, for example, regular attendance at a nursery, possibly with special ancillary assistance, or visits to a clinic or consultations with a paediatrician, that may result in unexpected expenses for the special guardian.

5.11. No special guardianship arrangement should fail, or fail to go ahead, solely because of financial barriers. To help ensure that financial barriers are

- ensure that the special guardian or prospective special guardian and their family are aware and taking advantage of all benefits and tax credits available to them
- consider the impact of special guardianship on the special guardian or special guardian's (or prospective special guardian's) family, and whether any lump sum payments are required to secure the success of the arrangement (for example, settling in costs, home adaptations)
- consider the residual ongoing impact of special guardianship that might necessitate regular payments
- consider any special circumstances that apply to the child and (prospective) special guardian (for example, where foster carers wish to become a special guardian to a child for whom they are currently caring).

5.12. The local authority may begin providing financial support, as appropriate, when:

- a special guardianship order is made, or
- after the court has asked the local authority for a report on the suitability of the prospective special guardian, or
- upon receipt of a prospective special guardian's notice of intention to make an application for a special guardianship order.

### What is meant by financial support?

5.13. The term 'financial support' is intended to apply to:

- a single lump sum payment to meet a specific assessed need
- a series of lump sum payments to meet a specific assessed need
- a periodic or regular payment payable at intervals to be determined by the local authority to meet a specific assessed ongoing need.

5.12-5.14. The Regulations state that financial support **must not** include any element of remuneration for the care of the child (but see below for special arrangements for foster carers who become special guardians).

5.13-5.15. Financial support that local authorities pay to special guardians under the Regulations **must** complement and not duplicate financial support available through the benefits and tax credits system. In determining the amount of financial support special guardians may receive, local authorities **must** take into account income that is payable in the form of benefits and tax credits.

5.14-5.16. The Regulations specify that financial support **must** be paid as a single payment except where the local authority and the recipient agree that it will be paid in instalments or periodically, or where the local authority decides that the needs are likely to give rise to recurring expenditure. In these cases, the local authority may specify the dates on which and until which payments will be made.

~~5.15-5.17.~~ 5.17. Local authorities may impose conditions that they consider to be appropriate when providing financial support. This could include the timescale and purpose that the financial support should be used for.

## Foster carers who become special guardians

~~5.16-5.18.~~ 5.18. Foster carers who apply to become special guardians for a child they have fostered may potentially lose out financially, especially if they have been receiving a fee element as part of their fostering allowance. This may be a barrier to applying for a special guardianship order, and the Regulations allow local authorities to take this into account when setting the level of financial support for foster carers who become special guardians.

~~5.17-5.19.~~ 5.19. Fostering fees are given in recognition of the service the foster parent provides to a local authority in caring for a child who is looked after by that authority, or in recognition of particular skills or experience the foster carer may have. As the fostered child will cease to be looked after once the special guardianship order is made, and financial support for special guardians cannot include any element of remuneration, foster carers will lose their entitlement to fees once they become the child's special guardian.

~~5.18-5.20.~~ 5.20. However, Regulation 7(4) allows local authorities to provide an element of financial remuneration in their financial support above the usual level where the special guardian was the child's foster carer. This may result in support above the usual level but This is not an automatic entitlement. The prospective special guardian's financial circumstances will be considered in relation to the child's needs when the local authority carries out its assessment of support needs. In some cases, the local authority will decide that the prospective special guardian is able to meet the additional costs of caring for the child as a permanent member of the family without providing the payment of any additional payments. In other cases the local authority will decide that a higher level of financial support should be payable to ease the transition from being a foster carer to being a special guardian for the child.

~~5.19-5.21.~~ 5.21. The local authority **must** decide to pay this financial support to the former foster carer **before** the special guardianship order is made, and the additional payments **must** cease after two years unless the local authority considers that the payments are necessary because of exceptional circumstances.

~~5.20-5.22.~~ 5.22. The purpose of the two year transitional provision is to enable local authorities to maintain payments to foster carers who become special guardians, at the same rate as they received when they were fostering the child. This should give the family time to adjust to their new circumstances.

## 6. Post-assessment: Notice of outcome

- 6.1. This chapter sets out the procedure for notifying a special guardian or prospective special guardian of the outcome of an assessment for support services, including financial support, and the opportunity for making representations.

### Information that must be given

- 6.2. Regulation 8 specifies that ~~that~~, after carrying out an assessment for special guardianship support services, the local authority **must** supply the following information to the person who was assessed:
- the authority's provisional view as to the person's needs for special guardianship support services
  - whether the local authority proposes to provide special guardianship support services to that person
  - details of the services, if any, that it is proposed will be provided
  - where the assessment relates to that person's needs for financial support, the basis on which the financial support is determined, the proposed amount that would be payable, and any conditions the local authority propose to impose.
- 6.3. The local authority **must** also give the person who was assessed notice of his or her right to make representations. The notice **must** set out the time period within which the person **must** make any representation.
- 6.4. When providing the person with the outcome of the assessment, the local authority **must** refer the person to sources of independent advice and advocacy.
- 6.5. Notices **must** be given in writing. Specific requirements regarding the person to whom the notice **must** be given are set out in chapter 7 of this code.

### Representations

- 6.6. The person who has been notified has the right to make representations to the local authority regarding the proposals to provide (or not to provide) special guardianship support services, within the period specified in the notice. The right to make representations gives applicants an opportunity to ensure that all the relevant circumstances have been taken into account by the local authority.

- 6-6-6.7. Local authorities **must** specify a period of 28 days from the time the proposed decision is sent to the applicants unless the particular circumstances require a shorter or longer period.

- 6-7-6.8. Regulation 8(4) specifies that the local authority **must not** make a decision as to the provision of special guardianship support services until representations have been made or the time period for making representations has expired. However, the regulation also allows a local authority the flexibility to make a

decision before the period of representations expires, if the person who has been assessed tells the authority that they are satisfied with the proposal, or if the person makes representations in writing before the end of the period. This flexibility should ensure that there is no delay in the provision of services where these are necessary to make the special guardianship arrangement work.

## 7. Post-assessment: Notice of decision

- 7.1. This chapter deals with a local authority's decision about what special guardianship support, including financial support, to provide to a person following an assessment.

### Making a decision

- 7.2. After considering any representations received and having regard to the assessment of needs for special guardianship support, the local authority **must** decide whether to provide any special guardianship support services. In coming to its decision, the local authority **must**:
- consider whether the person whose needs have been assessed has needs for special guardianship support services and
  - decide whether to provide any special guardianship support services to that person.

### Notification

- 7.3. After making its decision, the local authority is required to give notice of that decision, including the reasons for the decision.
- 7.4. Where the assessment of needs is related only to the provision of **information** only the local authority is not required to give notice if this appears not to be appropriate.
- 7.5. Notices **must** be given in writing. Specific requirements regarding the person to whom the notice **must** be given are set out in chapter 8 of this code.
- 7.6. Where a local authority has decided to provide **financial support** to a special guardian or prospective special guardian, it **must** provide the following information to that person in writing:
- the amount of financial support to be paid
  - the conditions, if any, that are to be imposed on the provision or use of that financial support
  - the date, if any, by which any conditions **must** be met
  - the consequences of not meeting any conditions
  - where financial support is to be paid as a single payment, the date on which the payment is to be made
  - where the financial support is to be paid in instalments or periodically, the frequency of the payment, date of the first payment, and the date (if any) on which the payment will cease.

- 7.7. The notice **must** also include information in writing that sets out:

- the method used to determine the amount of financial support
- the arrangements for reviewing, varying or terminating the financial support
- the responsibility of the local authority to review the provision of financial support (see chapter 10 of this code).

7.8. Also, where the special guardian or prospective special guardian is to receive financial support, the notice **must** include the responsibilities of the special guardian (or prospective special guardian) to inform the local authority:

- if they change their address
- if the child no longer has a home with them
- if the child dies
- if there is any change in their financial circumstances or the financial needs and resources of the child

and supply the local authority with a written, completed annual statement regarding their financial circumstances and the child's financial needs and resources.

7.9. Where service providers other than social services have been involved in the assessment of special guardianship support needs, the local authority should try wherever possible to ensure that decisions made by those service providers follow the same timetable as decisions made under theis regulations. These can then be covered in a single notification and plan sent out by the local authority, setting out decisions for the whole package of support.



## 8. Notices

- 8.1. This chapter sets out specific requirements regarding the giving of information and notices. It covers information and notices which local authorities are required to supply in relation to the outcome of assessments for special guardianship support services, including any financial support, and the right to make representations (chapter 6 of this code), notification of decisions regarding special guardianship support services (chapter 7), support services plans (chapter 9) and information regarding reviews (chapter 10).
- 8.2. Information and notices **must** be given in writing, although additional formats may also be necessary in specific circumstances.

### People who must be notified

- 8.3. Any information required to be supplied, or notices **must be given** **must be in writing to the persons specified below.** ~~Notices **must** be given to the person who has been assessed for special guardianship support services, including financial support, and to other persons as specified below.~~
- 8.4. Where the person whose needs for special guardianship support services have been assessed is an adult, ~~the notice **must be given** to that person.~~
- 8.5. Where the person whose needs for special guardianship support services have been assessed is a child to the child, **but only w**. ~~Where it appears to the local authority that the child is of sufficient age and understanding for it to be appropriate to give him or her such notice; and it does not appear to the local authority to be inappropriate to give him or her such notice. person is a child of sufficient age and understanding, the notice **must be given** to that child, **except where it would** unless the specific circumstances of the case mean that it would be inappropriate to do so — for example, because it would be potentially harmful to the wellbeing of the child.~~
- ~~8.5.8.6.~~ To the special guardian or where the child does not have a special guardian or prospective special guardian, to the adult the local authority considers most appropriate. ~~Except where it appears inappropriate to the local authority to do so. the notice **must also be given** to the child's special guardian or prospective special guardian. Where the child does not have a special guardian or prospective special guardian, the notice **must be given** to the adult the local authority considers most appropriate.~~
- ~~8.6.8.7.~~ When providing information or notices to a child, the local authority **must** ensure that the information is given in a way that is appropriate to the child's age and understanding. In some circumstances, the information may need to be given verbally as well as in written form, to ensure that the child fully understands the decisions the local authority has made or is proposing to make. The local authority **must** ensure that the child and the special guardian are referred to sources of

independent advice and advocacy, as appropriate.

~~8.7. Where it is not appropriate to give the notice to a child, or the child is not of sufficient age or understanding, the local authority **must** give the notice to the child's special guardian or prospective special guardian, or where there is none, to the most appropriate adult.~~

## 9. Support services plan

- 9.1. Once a person has had their needs for special guardianship support services assessed, and the local authority has informed that person of their decision about the provision of those services, the local authority **must** prepare a plan of the special guardianship support services, including financial support, to be provided. This is known as the support services plan. This chapter sets out how these plans **must** be prepared, what they **must** contain, and how often they **must** be reviewed.

### When should a support services plan be prepared?

- 9.2. The preparation of a support services plan is essential to ensure the co-ordinated provision of services, and to clarify who will be responsible for providing what.
- 9.3. The support services plan should build on any information already known to the local authority, including the Part 6 care and support plan where the child has previously been looked after by the local authority. Where the child has a care and support plan under Part 4 of the Social Services and Well-being (Wales) Act-2014 Act (or the special guardian has a support plan), the special guardianship support services plan for the child and / or the adult should be separate but make reference where appropriate integrated into that person's Part 4 plan.
- 9.4. The local authority does not need to prepare a special guardianship support services plan where special guardianship support services are being provided on a single occasion, as in this case the notice provided will include all the necessary information.
- 9.5. Even where there is no need for a special guardianship support services plan, the local authority **must** ensure that the child and the special guardians knows who the local authority's special guardianship contact is, and how to access advice and support if needed.
- 9.6. Support services plans **must**, where possible, be agreed with the prospective special guardian before the special guardianship order is made. The prospective special guardian and the child may need independent advice and support before they can make an informed decision about the proposed support. Where appropriate the local authority should consider arranging family meetings to discuss the support arrangements.

### Who needs to be involved?

- 9.7. If the local authority considers it appropriate, they **must** consult the following people during the preparation of the support services plan:
- the person whose needs for special guardianship support services have been assessed (whether an adult or a child of sufficient age and

- in the case of a child, the special guardian or prospective special guardian (except where it appears inappropriate to the local authority to do so).

9.8. Local authorities **must** ensure that consultation with a child or young person is undertaken appropriately, and that where appropriate the child is supported during the consultation by an appropriate person. A child who is looked after when an application for a special guardianship order is made, has a statutory right to advocacy under the ~~Social Services and Well-being (Wales) Act 2014~~ Act.

9.9. ~~Where it appears to the local authority that there may be a need for the provision of services by a n the support services plan is being prepared, it may become apparent that some services need to be provided by a local health board, an Local Health Board, an NHS Trust, an integrated care board, NHS England or another Local Authority NHS Trust, a clinical commissioning group, the NHS-Commissioning Board or a education nother local authority.. Where the local authority considers that this may be the case it is required by regulation 11(3) of the Regulations to consult with that body, for the purpose of preparing the support services plan. It the relevant Board, Trust, group or authority..~~

9.10. The result of this process of preparation and consultation should be that social workers, other professionals and the recipient of the services (or the appropriate adult) will be clear what ~~the~~ support services will be provided and how plan is. The support services plan **must** be set out in writing in a way that everybody affected can understand.

### What must the support services plan contain?

9.11. Local authorities must use the All Wales Special Guardianship Support Services Plan Template (see Annex 2) to complete the plan. The template provides a structured and standardised framework that enables local authorities to:

- Identify the assessed needs of the child, the special guardian, birth parents and wider family members
- Specify the services to be provided to meet those needs, including universal, targeted and specialist support
- Establish clear arrangements for the review and re-assessment of the support plan over time.

9.12. Completion of the template must be informed by all relevant information known to the local authority regarding the current and potential future needs of the child, the proposed special guardian(s), and other members of the household including any children where applicable.

9.13. Use of the template will ensure that support planning is comprehensive, forward looking and aligned with local authorities' statutory duties under the Regulations.

9.14. The support services plan ~~must~~**must** set out clearly:

- the objectives of the plan which should align with the six outcomes set out in the Guide for the Offer of Special Guardianship Support in Wales<sup>9</sup>–
- the key services to be provided
- the timescales for achieving the plan
- the individual who will be responsible for co-ordinating and monitoring the delivery of the services in the plan
- the respective roles of others responsible for implementing the plan
- the criteria that will be used to evaluate the success of the plan
- the procedures for the review of the plan.

9.15. Where the assessment for support has raised any concerns or identified any risks, the support services plan **must** set out fully how these are to be addressed or managed.

9.16. The support services ~~is~~ plan **must also** provide a clear picture for all those involved in the provision of the services, and the person receiving those services, of what will be provided, when and by whom, and how the success of the services will be measured and reviewed. The local authority **must** also inform the person receiving the services who their first point of contact will be should the person have any queries or concerns about the plan.

### Who must receive copies of the plan?

9.17. Once the plan has been agreed, the local authority **must** send a copy to the recipient of the special guardianship support services (and / or the special guardian or appropriate adult) in accordance with Regulation 10 (see chapter 8 of this code).

9.18. Where it appears to the local authority that a Local Health Board~~local health board~~, an NHS Trust, an integrated care board, NHS England or another local authority ~~clinical commissioning group or the NHS Commissioning Board~~ may be involved in the delivery of the plan, the authority **must** provide a copy of the support services plan to that ~~body~~board, Trust or group.

9.19. Where the person to whom the plan relates lives in the area of another local authority, the local authority that prepared the plan **must** provide a copy of the plan to the ~~ate area~~ local authority, unless the authority considers it unnecessary.

## 10. Review of support services

10.1. This chapter sets out the requirements on local authorities to review special guardianship support services plans, including arrangements to review financial support. ~~where there has been a change of circumstances.~~

10.2. Where the support services do not include financial support the local authority must review the provision of services-

- i) if any relevant change in the person's circumstances, including a change of address, comes to their notice; and
- ii) in any event from time to time.

10.3. Where the support services includes financial support they must review the provision of such services –

- i) ~~if~~ if any relevant change in the person's circumstances, including a change of address, comes to their notice; and
- ii) ~~u~~ Upon receipt of the annual statement.

### Keeping in touch

10.4.3 Local authorities **must** have arrangements in place to keep in touch with special guardians (a) where the special guardianship order was made in their area, or (b) where the special guardian lives in another area, but the child was formerly looked after by that authority. When the special guardian and child have an established and settled relationship, and the special guardianship arrangement is working well, a phone call once a year may be all that is needed to establish that all is well, and that no further information, advice or assistance is needed. As a minimum, local authorities **must** make contact with special guardians at least once a year.

10.5.4 Local authorities **must** also ensure that they contact special guardians about changes affecting special guardianship support arrangements locally (for example, changes of contact details), so that all special guardians know where to go for advice and support should the need arise. Newsletters or e-mail bulletins may be useful in this regard; or information could be included in the annual financial assessment.

### Reviewing support services plans

10.6.5 Reviewing the provision of special guardianship support services is clearly a very important process for the local authority, the special guardian and the child. The local authority needs to be sure that services being provided on an ongoing basis are effective. The special guardian and child need the reassurance that should their needs change there will be a process that allows those needs to be reviewed and acknowledged and the appropriate services provided.

10.7.6 The format and content of the review will vary depending on the circumstances of the case. Where a change of circumstances is relevant only to one service, the review may be carried out with reference only to that service. Where the change of circumstances is relatively minor the review might be limited to an exchange of correspondence. However, where the change of circumstances is substantial, such as a serious change in the behaviour of the child, it may be appropriate to conduct a new assessment of needs for special guardianship support services. Sometimes it may be appropriate to carry out an assessment of care and support needs under Part 3 of the ~~Social Services and Well-being (Wales) Act 2014~~ Act.

10.8.7 Notification of changes of circumstances and any review of the provision of special guardianship support services need not require direct contact in person between the local authority and the special guardians. In particular, the annual review of financial support will generally be based on an exchange of correspondence between the local authority and the special guardian.

10.9.8 Visits to the special guardian's home, by agreement between the special guardian and the local authority, may be beneficial in certain circumstances, for example where a complex package of special guardianship support services is being provided. Some special guardians may welcome an opportunity for contact with the local authority. Local authorities may wish to give special guardians the option of a home visit or a telephone call so that the special guardian and local authority have an opportunity to discuss and evaluate recent experiences. This may be helpful before completion of the annual statement where financial support is in payment.

10.10. In conducting a review, the local authority **must** take into account the same considerations as for the original assessment (see chapter 3 of this code) and must use the All Wales Special Guardianship Review of Support Services Plan Template (see Annex 3).

## Timescales for review

10.11.0 When preparing the support services plan, the local authority **must** discuss with the recipient (and / or the special guardian or appropriate adult) the arrangements for reviewing the provision of support services, if it considers this appropriate. The review arrangements **must** be written into the plan.

10.12.4 — The review process should not be too burdensome or intrusive, and should reflect whether the services are being provided on a short term or ongoing basis. If the services are to meet a need that is likely to last until the child is 18, it may be necessary to review the provision of the service only occasionally. Where the services are due to last a matter of weeks it may be appropriate to review the provision of those services at the end of the course.

10.13.2 Wherever possible a review **must** take place within four weeks of a change of circumstances coming to the attention of the local authority.

## Reviewing financial support



**10.14.3** Where ongoing financial support is provided, a local authority **must** review the provision of financial support if it comes to its attention that there has been a relevant change in the circumstances of the person receiving support. Local authorities must also review the provision of financial support at least once per year, following receipt of the annual statement.

**10.15.4** Local authorities should demonstrate flexibility in responding to changes of circumstance and at the annual review of financial support. Financial support may increase or decrease as appropriate in an individual case. Deterioration in the child's condition leading to extra expenditure for the special guardians may necessitate additional financial assistance. Conversely, a change in circumstance may result in a reduction in financial support.

**10.16.5** The local authority will need to operate with sensitivity in determining how far changes in financial circumstances or needs affect the provision of financial support. It may, for example, be inappropriate to offset cost of living earnings increases against the financial support provided, although marked increases may be taken into consideration.

**10.17.6** Special guardians **must** complete and submit to the local authority an annual statement of their financial circumstances. If the special guardian fails to do so, the local authority **must not** take any steps to review, cease payment or recover any financial support until they have sent a written reminder and allowed 28 days for the special guardian to provide the statement. If the annual statement is not provided after 28 days, the local authority may review the financial support or suspend or cease payment. Local authorities can also seek to recover all or part of the financial support they have paid. Local authorities **must** ensure that special guardians are made aware of these provisions by including them in the formal notification about financial support, and prompt them each year to provide the necessary information in advance of each annual review. If the local authority suspends payment, it can reinstate it if and when the annual statement is received.

**10.18.7** The local authority should be prepared to provide advice and assistance on completing the statement forms, on request where necessary.

**10.19.8** The local authority **must** terminate financial support with effect from the date that it becomes aware of any of the following circumstances:

- the special guardianship order has ceased to have effect or has been revoked by the court
- the child ceases to have a home with the special guardian or prospective special guardian (excepting periods of temporary absence away from the special guardian's or prospective special guardian's home, for example, in connection with education, short breaks or hospitalisation)
- the child is in receipt of income support or jobseeker's allowance
- the child has begun full-time paid employment
- the child dies.

10.20.19 Once the review has been conducted the local authority **must** decide whether to vary or terminate the provision of special guardianship support services to that person. When making this decision the local authority **must** act reasonably.

10.21.9 If the local authority decides to vary or terminate the provision of support services, or to revise the support services plan, the local authority **must** give notice of their decision in accordance with Regulation 10 (notices – see chapter 8 of this code). This notification **must** include the reasons for the decision.

10.22.4 Where it is decided following the review that the services to be provided should be changed, the support services plan **must** also be reviewed. If the need for services is unchanged there is no need to revise the plan.

## 11. Former looked after children: services outside of area

- 11.1. This chapter sets out which local authority is responsible for providing special guardianship support services to a child who was ~~a~~-looked after ~~child~~-immediately before the special guardianship order was made.
- 11.2. The Regulations specify that the local authority which looked after a child immediately before a special guardianship order was made should continue to meet any identified support needs for special guardianship support services for a period of three years after the special guardianship order is made, regardless of where the child is living. This brings special guardianship support services into line with the arrangements for adoption support. (It should be noted that this does not apply to ongoing financial support – see paragraph 11.9 below.)
- 11.3. The local authority which was looking after the child **must** notify the area authority where the child and special guardian will be living as soon as the special guardianship order has been made. This will help with transitional arrangements once the three year period comes to an end, and will be especially important when there are additional needs for care and support.
- 11.4. Where the special guardian lives some distance away from the authority which was looking after the child, special guardianship support services such as training, peer support, short breaks or mediation may need to be provided locally. In these circumstances, the original authority and the area authority should co-operate to establish which services could be provided locally and to facilitate the child's and / or special guardian's access to them. The cost of providing these services (for example, training or short breaks) will lie with the authority which was looking after the child, until responsibility is transferred to the area authority when the three years ~~is~~are up.
- 11.5. Where a child has additional care and support needs over and above the prescribed special guardianship support services, and these are identified in a care and support plan under Part 4 of the 2014 Act, the local authority which looked after the child and the local authority where the child will be resident ~~must will need to~~-agree which authority will be responsible for meeting these additional needs. In most cases it is expected that the authority which previously looked after the child will continue to meet any ongoing support needs (including those over and above the prescribed special guardianship support services) for three years after the making of the special guardianship order. If any additional needs arise during the three year period, the two authorities ~~must will need to~~ determine which authority meets those additional needs. Similar considerations will apply where a special guardian has any support needs set out in a support services plan under Part 4 of the 2014 Act.

**Transferring support after 3 years**

- 11.6. After ~~these~~ three years, responsibility for meeting the need for special guardianship support services transfers to the local authority where the child is living. As the three year period comes to an end, the local authority which is providing the support **must** notify the local authority where the child is living of any continuing support needs. This notification **must** be made early enough for the area local authority to discuss those support needs with the family, carry out a reassessment if necessary, and make arrangements to continue providing support services. Both local authorities have a responsibility to ensure that there is no break in provision, and that the transition to any new model of service delivery is managed smoothly and in the best interests of the child and his or her family.
- 11.7. The local authority which has been providing support services **must** at this stage also provide the child and special guardian with details of the information, advice and assistance service in their home area, and inform them of their entitlement to request a reassessment of their special guardianship support needs if their circumstances change.
- 11.8. On receiving notification that the three year period is coming to an end, the local authority where the child and special guardian are ordinarily resident **must** contact the child and special guardian to discuss their needs for support services and how these will be met in future. The local authority may decide, after this discussion, to undertake a reassessment of the child's or special guardian's needs for support services, or may even decide that a fuller assessment for care and support under Part 3 of the Social Services and Well-being (Wales) 2014 Act is ~~in~~-needed.

**Ongoing financial support**

- 11.9. It should be noted that the Regulations make a distinction between ongoing financial support (i.e. financial support that is paid on a regular basis) which was agreed before the special guardianship order was made and other support services. The assessment and provision of such financial support will remain the responsibility of the local authority who originally agreed it for as long as the family in question qualifies for payments<sup>10</sup>. This distinction has been made because financial support can be paid without direct contact.

**Cross-border arrangements**

- 11.10. The Special Guardianship Regulations 2005 (see Regulation 5) place similar duties upon local authorities in England ~~Local authorities in England have similar duties~~ towards children they looked after before a special guardianship order was made<sup>11</sup>, so that when a child ~~wh~~ich was formerly looked after by an English local authority comes to live in Wales under a special guardianship arrangement, the relevant English local authority will retain responsibility for the assessment and provision of special guardianship support services for three years from the making of the order. When the three year period has expired, the local authority where the special

<sup>10</sup> ~~See Regulation 3A(2) of the Regulations (inserted by Regulation 5 of the Special Guardianship (Wales) (Amendment) Regulations 2018).~~

<sup>11</sup> ~~Regulation 5 of the Special Guardianship Regulations 2005 (as amended) and the Special Guardianship Guidance (Department for Education, January 2017).~~

guardian lives becomes responsible for assessing and providing support services (except ongoing financial support that was agreed before the special guardianship order was made). Where that child lives in Wales, the relevant Welsh local authority **must** take on this responsibility. Similar arrangements will apply where a Welsh child who was formerly looked after moves to England under a special guardianship order.

## 12. Special guardianship orders and leaving care

- 12.1. Children who were looked after by a local authority immediately before the making of a special guardianship order may qualify for advice, guidance and assistance under the Social Services and Well-being (Wales) Act 2014 ('the 2014 Act'). Section 104 ~~of the 2014 Act~~ defines six categories of young people who are entitled to in respect of leaving care support under sections 105 to 115 of the 2014 Act.
- 12.2. ~~A~~ and a 'category 5 young person' is defined as a person between 16 and 21 years old, who is subject to a special guardianship order (or was when ~~they~~ he or she turned 18), and who was looked after immediately before the special guardianship order was made.
- 12.3. The full duties of a local authority towards a 'category 5 young person' are set out in section 114 of the 2014 Act, and chapter 5 ('Leaving care') of the ~~Further details are set out in the~~ Code of Practice relating to Part 6 of the 2014 Act (Looked After and Accommodated Children), ~~chapter 5 ('Leaving care')~~.
- 12.4. Section 114 of the 2014 Act provides that where the local authority considers that the young person needs support of the ~~The local authority must consider whether the young person needs support of the kind it can give under section 114, and is set out in section 114. If the local authority is~~ satisfied that the person who was looking after the young person (the special guardian or former special guardian) does not have the necessary facilities for advising or befriending him or her, ~~The, the~~ local authority **must** advise and befriend the young person, and may support him or her as necessary in the following ways:
- in kind
  - by contributing to expenses incurred by the young person in living near the place where he or she will be employed or seeking employment
  - by contributing to expenses incurred by the young person in living near the place where he or she will be receiving education or training
  - by making a grant to enable him or her to meet expenses connected with education or training
  - by providing accommodation if support may not be given in the above ways
  - in cash.
- 12.5. Where appropriate, the local authority should consider whether to provide the young person with a personal adviser.
- 12.6. A local authority may provide a young person with the following support up to the age of 25, where they would be a category 5 young person if they were under the age of 21-

- contributing to expenses incurred by living near the place where the young person is or will be receiving education or training, and

- by making a grant to enable the young person to meet expenses connected with their education or training

~~The local authority may also give support in certain circumstances up to the age of 25, where the young person is completing a course of education or training as set out in the plan agreed with the young person.~~

12.7. These provisions recognise that some young people who left care under a special guardianship order may be as vulnerable, and have very similar needs to, young people who left care at 16 or 18. This is particularly important because the special guardianship order comes to an end when the young person turns 18. They place a duty upon local authorities to respond adequately to these young people's individual needs.

12.8. Where a local authority is satisfied that a 'category 5 young person' has a need for advice and support, it **must** assess his or her needs and decide how best to meet them. Where the authority concludes that the young person will need support over a period of time, it should draw up a plan in partnership with the young person, outlining the care and support to be provided. The plan should follow the same format as a 'pathway plan' for a young person preparing to leave care (as set out in chapter 5 of the Part 6 Code of Practice).

12.9. The local authority **must** inform young people who have left care under a special guardianship order of their entitlement to an assessment for care leaver support. It is good practice to do this when the child is about to turn 16.

12.10. It should be noted that young people in special guardianship arrangements are not eligible to enter into post-18 living arrangements under section 108 of the ~~Social Services and Well-being (Wales) Act 2014~~ Act (the 'When I am Ready' scheme), even if they are living with their former foster parents. Local authorities **must** ensure that foster parents who are considering becoming special guardians, and the children and young people placed with them, are fully aware of this before a special guardianship order is made. Where appropriate, local authorities should consider alternative ways of supporting former looked after children who wish to continue living with their special guardians once they turn 18, such as supported lodgings.

~~10.1. Regulation 13 provides that the relevant local authority in relation to the provision of advice and assistance is the one that last looked after the child.~~

12.11. There may be times when it is not clear which authority is responsible for giving advice and assistance regarding special guardianship – for example, where the child moved between placements in different local authority areas. Regulation 13 states that the relevant authority shall be the local authority which last looked after the person. [The Special Guardianship Regulations 2005 make similar provision for](#)



England (see regulation 22)~~Similar arrangements apply to young people who were formerly looked after by a local authority in England~~<sup>12</sup>, where the relevant authority for the purposes of providing advice and assistance will be the local authority in England which last looked after the child~~hem~~.

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~~12 Regulation 22 of the Special Guardianship Regulations 2005 (Relevant authority for the purposes of leaving care provision).~~

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## 13. Complaints and representations

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- 13.1. Complaints and representations about special guardianship support services **must** be handled in accordance with the local authority's complaints procedures.
- 13.2. Regulation 14 specifies that local authorities must establish a procedure for considering representations made in respect of the following matters~~complaints and representations may particularly be made in relation to the following services:~~
- financial support for special guardians
  - support groups for children
  - assistance in relation to contact
  - therapeutic services for children
  - assistance to ensure the continuation of the relationship between the child and their special guardian or prospective special guardian.
- 13.3. Local authorities must ensure that children subject to special guardianship arrangements, special guardians and prospective~~potential~~ special guardianships, and parents, receive information on how to make complaints and representations.

## Annex 1 – Reports to the court – prescribed matters

This annex lists the prescribed matters listed in the Schedule to the Regulations. These matters **must** be included in reports to the court in respect of applications for special guardianship orders.

The lettering and numbering below follows that in the Schedule (as amended).

### 2. Information about the child

This refers to the child in respect of whom a special guardianship order is sought or the child in respect of whom the court has required a report.

- (a) Name, sex, date and place of birth and home address (including local authority area).
- (b) Nationality (and immigration status where appropriate).
- (c) A photograph and physical description.
- (d) Developmental needs, to include physical, educational and emotional needs.
- (e) Religious persuasion (including details of baptism, confirmation or equivalent ceremonies), racial origin and cultural and linguistic background.
- (f) Details of any order made by a court with respect to the child under the Children Act 1989, including
  - the name of the court
  - the order made, and
  - the date on which the order was made.
- (g) The extent of the child's contact with his or her relatives and any other person the local authority consider relevant.
  - (ga) aAny harm which the child has suffered.
  - (gb) Any risk of future harm to the child posed by the child's parents, relatives or any other person the local authority considers relevant.
- (h) Any placement with foster parents or any other care arrangements relating to the child.
  - (ha) Whether the child is being looked after or has been looked after by a local authority or is or has been provided with accommodation by a voluntary organization, and details (including dates) of any placements by the authority or organization.
  - (hb) Whether the prospective special guardian is a local authority foster parent of the child.
- (i) The child's educational attainments and whether the child is subject to a statement of special educational needs under the Education Act 1996.
- (j) An assessment of the child's wishes and feelings (having regard to the child's age and understanding) about
  - (i) special guardianship
  - (ii) his or her religious and cultural upbringing, and

(iii) contact with his or her relatives and any other person the local authority considers relevant.

- (k) The date on which the child's wishes and feelings were last assessed.
- (l) A description of the child's personality, his or her social development, his or her emotional and behavioural development, and any related current needs or likely future needs.
- (m) Details of the child's interests, likes and dislikes.
- (n) A health history and a description of the state of the child's health, including any treatment the child is receiving.
- (o) Names and addresses and types of nurseries or schools attended, with dates of attendance.

### **3. Information about the child's family**

- (a) Name, date, place of birth and home address (and the date on which their address was last confirmed) of each of the child's parents, siblings and any other person the local authority considers to be relevant.
- (b) Nationality (and immigration status where appropriate) of the child's parents.
  - (ba) Whether the child's parents were married to or civil partners of, each other at the time of the child's birth or subsequently married or formed a civil partnership;
  - (bb) whether-
    - (i) if the child's parents have been married as mentioned in sub-paragraph (ba), they are divorced or separated; or
    - (ii) if the child's parents have been civil partners as so mentioned. The partnership has been dissolved or they are separated; ~~and whether they have divorced or separated.~~
- (c) If the child's parent is a member of a couple, an assessment of the stability of that relationship and, if the parent is married or has entered into a civil partnership, the date and place of marriage or civil partnership (or in relation to a marriage resulting from the conversion of a civil partnership under section of the Marriage (Same Sex Couples) Act 2014 and regulations made under that section, the date and place of the civil partnership which was originally entered into by the couple;
- (d) Where the child's parents are not married, or civil partners, whether the child's father has parental responsibility for the child and, if so, how it was acquired.
- (e) Whether either parent is considered by the local authority to be likely to apply for an order under the Act in respect of the child.
  - (ea) If the identity or whereabouts of either parent is not known, the information about the parent that has been ascertained and from whom the information has been obtained, and the steps that have been taken to establish the maternity or paternity as the case may be
  - (eb) The past and present relationship of the child's parents with each other
- (f) A photograph, if available, and a physical description of the parents, siblings and any other person the local authority considers to be relevant

- (fa) Where available, the health history of each of the parents, including details of any serious physical or mental illness, any hereditary disease or disorder or disability
- (g) Religious persuasion, racial origin and cultural and linguistic background of the parent
- (h) The occupations, past and present, and educational attainment of the parents
  - (ha) The personality and interests of the parents.
- (i) The care arrangements in respect of any of the child's siblings who have not attained the age of 18 years.
- (j) The views, wishes and feelings of the child's parents in relation to
  - (i) the application for a special guardianship order in respect of the child;
  - (ii) the child's religious and cultural upbringing; and
  - (iii) contact with the child;
- (ja) In respect of each of the child's siblings under the age of 18:
  - (i) the person with whom the sibling is living;
  - (ii) whether the sibling is looked after by a local authority or provided with accommodation by a voluntary organisation; and
  - (iii) details of any court order made with respect to the sibling under the Children Act 1989, including the name of the court, the order made and the date on which the order was made.
- (k) The reason why any of the information prescribed above ~~in this paragraph~~ is not available.

#### **4. Information about the prospective special guardian/s**

Where two or more persons are jointly prospective special guardians, the following information **must** be included in respect of each of them.

- (a) Name, date and place of birth and home address (including local authority area).
- (b) Nationality (and immigration status where appropriate).
- (c) Relationship to the child.
- (d) Photograph and a physical description.
- (e) If the prospective special guardian is a member of a couple, an assessment of the stability of that relationship and, if the prospective special guardian is married or has entered into a civil partnership, the date and place of marriage or civil partnership or in relation to a marriage resulting from the conversion of a civil partnership under section 9 of the Marriage (Same Sex Couples) Act 2013 and regulations made under that section, the date and place of the civil partnership which was originally entered into by the couple;
  - (ea) If the prospective special guardian is a member of a couple and is applying alone for a special guardianship order, the reasons for this.
  - (eb) Details of any previous marriage, civil partnership, or significant relationship.

- (f) Religious persuasion, racial origin and cultural and linguistic background of the prospective special guardian and willingness of the prospective special guardian to follow the wishes of the child or of the child's parent in relation to the religious or cultural upbringing of the child.
  - (fa) Whether the prospective special guardian is a relative of the child.
  - (fb) An assessment of the prospective special guardian's current and past relationship with the child, the child's parents and any related person ~~in relation to the child~~<sup>13</sup>.
- (g) Occupations, past and present, and educational attainment.
- (h) A report on the health of the prospective special guardian, including details of any serious physical or mental illness, any hereditary disease or disorder ~~or~~ disability.
- (i) Particulars of the prospective special guardian's home and neighbourhood, to include details of income and expenditure, comments on the living standards of the household and any wider family and environmental factors which may impact on the parenting capacity of the prospective special guardian.
- (j) Previous experience of caring for children.
- (k) Any past assessment as a prospective adopter, foster parent or special guardian.
- (l) Reasons for applying for a special guardianship order and extent of understanding of the nature and effect of special guardianship, and whether the prospective special guardian has discussed special guardianship with the child.
- (m) An assessment of the prospective special guardian's parenting capacity, including
  - (i) their understanding of, and ability to meet, the child's current and likely future needs, particularly any needs the child may have arising from harm that the child has suffered
  - (ii) their understanding of, and ability to protect the child from, any current or future risk of harm posed by the child's parents, relatives or any other person the local authority consider relevant, particularly in relation to contact between any such person and the child
  - (ii) their ability, suitability and commitment to bring up the child until the child reaches the age of eighteen, and
  - (iv) their understanding of a special guardian's role and its likely impact on their life.
- (ma) Details of any other members of the prospective special guardian's household, and details of any children of the prospective special guardian even if not resident in the household.
- (mb) Details of the parents and siblings of the prospective special guardian, with their ages.

<sup>13</sup> ~~'Related person' is defined in the Special Guardianship (Wales) Regulations 2005 as (a) a relative of the child within the meaning of section 105 of the Children Act 1989 (a grandparent, brother, sister, uncle or aunt (whether 'of the full blood or half blood') or a step-parent by marriage or civil partnership); and (b) any other person with whom the child has a relationship which appears to the local authority to be beneficial to the child's welfare.~~

- (mc) Details of the personality and interests of the prospective special guardian.
- (md) Details of any previous family court proceedings in which the prospective special guardian has been involved (which have not yet been referred to elsewhere in the report).
- (n) Details of the prospective special guardian's three personal referees, no more than one of whom is a relative of the prospective special guardian, and a report of each of the interviews with the referees.
  - (na) The views of other members of the prospective special guardian's household and wider family (where relevant) in relation to the proposed special guardianship order.
  - (nb) An assessment of the interaction between each of the members of the prospective special guardian's household.
  - (nc) An assessment of the child's current and likely future relationship with the family of the prospective special guardian.
  - (nd) Any hopes and expectations the prospective special guardian has for the child's future.
  - (ne) The prospective special guardian's wishes and feelings in relation to current and future contact between the child and the child's relatives or any other person the local authority considers relevant.
- (o) Details of the proposed living arrangements for the child, if these are intended to change after a special guardianship order is made.
- (p) In respect of the prospective special guardian, and any other member of the prospective special guardian's household who is aged 18 or over, an enhanced criminal record certificate issued under section 113B of the Police Act 1997 which includes suitability information relating to children (within the meaning of section 113BA(2) of that Act).

## **5. Information about the local authority compiling the report**

- (a) Name and address.
- (b) Details as to whether any of the information about the child or the child's family was initially obtained by the local authority otherwise than for the purposes of preparing the report and, if so, the purpose for which, and the date upon which, it was obtained.
- (c) Details of steps taken to verify the identity of the prospective special guardian.
- (d) Details of any past involvement of the local authority with the prospective special guardian, including any past preparation for that person to be a foster parent or adoptive parent.
- (e) Details of any assessment which the local authority has undertaken in respect of special guardianship support services for the prospective special guardian, the child or the child's parent, and the period for which those services are to be provided.
  - (ea) Where the local authority has decided not to provide special guardianship support services, the reason why.



- (f) Where section 14A(7)(a) of the Children Act 1989 applies and the prospective special guardian lives in the area of another local authority, details of the local authority's enquiries of that other local authority about the prospective special guardian.
- (g) Details of the local authority's opinions as to whether the prospective special guardian would or would not be a suitable special guardian for the child.

## **6. Conclusions reached in the report**

The conclusions reached in the report to the court **must** include the following considerations.

- (a) A summary prepared by the medical professional who provided the information referred to in paragraphs 2(d) and 4(h) above on the health of the child and of the prospective special guardian;
- (b) Details of the opinion of the person making the report on:
  - [\(i\)](#) the implications of the making of a special guardianship order for the child
  - [\(ii\)](#) how any special health needs of the child may be met
  - [\(iii\)](#) whether the making of a special guardianship order would be in the best long-term interests of the child;
  - [\(iv\)](#) how any emotional, behavioural and educational needs of the child may be met
  - [\(v\)](#) the effect of the making of the special guardianship order on the child's parents, the prospective special guardian and his or her family and any other person the local authority considers relevant
  - [\(vi\)](#) if appropriate, the merits of the making of a placement order or an adoption order under the Adoption and Children Act 2002(1) or a residence order under section 8 of the Act in respect of the child;
- (c) Details of the conclusions and recommendations of the person making the report on the issue of whether a special guardianship order should be made in respect of the child.
- (d) A recommendation as to the arrangements that should be put in place for contact between the child and the child's relatives or any other person the local authority considers relevant.

## Annex 2 – Special Guardianship Support Services Plan

# ALL WALES SPECIAL GUARDIANSHIP SUPPORT SERVICES PLAN

|                            |  |
|----------------------------|--|
| <b>Local<br/>Authority</b> |  |
|----------------------------|--|

The objectives of this plan are to meet the six outcomes of the [Welsh Government guide for the offer of special guardianship support in Wales](#), of which the overarching outcome is:

*‘To achieve and sustain an alternative permanent family life into adulthood, where children may be stable, feel secure and flourish’.*

*These six outcomes and the offers which underpin them serve to provide the criteria that will be used to evaluate the success of the plan at subsequent reviews.*

The initial plan will be reviewed by using the following template:  
**All Wales Special Guardianship Review of Support Services Plan**

### How to use this template

This template will be used for the first special guardianship support services plan where a full assessment has been completed in anticipation of a special guardianship order being made by the court.

This support plan will be completed using all the information known to the local authority about the current and possible future needs for the child, the proposed special guardian(s) and other members of the household, including children, where relevant.

Where such information is set out in an assessment report, the support plan may refer to that report rather than duplicate the content.

Any specific actions, services or resources to be provided will be detailed in the table included in this template. The full template should be provided to the special guardian(s).

The individual(s) responsible for coordinating and monitoring the delivery of the services in the plan will be specified on the signatory page.

**The support services plan will include (as set out in the special guardianship Code of Practice and the Welsh guide for the offer of special guardianship services):**

- The universal special guardianship services required for the child, special guardian and wider family;
- Any targeted or specialist special guardianship support services required;
- Consideration of whether elements of the child’s existing Part 6 care and support plan require a Part 4 care and support plan. If so, the support identified in that plan.

**For the purposes of brevity, the support services plan refers to the special guardian in the singular but, where relevant, applies to both special guardians**

## SPECIAL GUARDIANSHIP SUPPORT SERVICES PLAN FOR: (name of child)

|  |  |
|--|--|
| <b>Date of birth</b>   |  |
| <b>Responsible local authority</b>   |  |
| <b>Name of proposed special guardian(s)</b>  |  |
| <b>Local authority where special guardians live if different</b>   |  |
| <b>Name of parent(s)</b>   |  |
| <b>Name of child's social worker</b>   |  |
| <b>Name of special guardianship support worker (if allocated)</b>  |  |
| <b>Allocated team if no allocated support social worker</b>  |  |
| <b>Contact details for special guardian to contact for advice / support from those with expertise of special guardianship arrangements</b> |  |
| <b>Date on which this plan will be reviewed</b>  |  |

|  |  |
|--|--|
| <b>Special guardianship family's details</b><br><i>The date of authorisation for keeping the details of special guardianship family should be recorded (in line with GDPR)</i> |  |
| <b>Address</b>   |  |
| <b>E-mail</b>  |  |
| <b>Contact telephone numbers</b>   |  |
| <b>Does the special guardianship family have up to date contact information for special guardianship support?</b>  |  |

## 1. The child's current and potential future needs and how these are to be met

These needs should be considered within the context of the UNCRC and, in particular, the right to: good health and development (Articles 24 and 6), family life (Article 10), recover from trauma (Article 39), and to be protected from harm (Article 19).

|  |  |
|--|--|
| <b>1.1</b> Health and development needs (Articles 24 & 6). This should include any details of generic and / or specific health needs relating to the child's physical development, and any needs arising from this   |  |
| <b>1.2</b> Education needs (including a consideration of support to be provided to the school in understanding the child's legal status and possible developmental needs)  |  |
| <b>1.3</b> Emotional well-being and development needs, including help to recover from any trauma, which may have arisen from pre-birth and post birth developmental trauma, the possible impact of maternal drug and/or alcohol misuse and domestic violence/abuse (Article 39). |  |
| <b>1.4</b> What particular strengths does the child have that can be nurtured and supported within the SGO?  |  |
| <b>1.5</b> Identity needs / life journey work (arising from the child not living with parents / brothers and sisters) (Article 10) What work has already been done with the child and special guardian, and plan for further work as appropriate.                                |  |
| <b>1.6</b> Are there elements of the child's Part 6 care and support plan that need to be transferred to a Part 4 care and support plan?   |  |
| <b>1.7</b> Are there any particular needs arising from the child's cultural / linguistic background that were identified in the proceedings and require services?  |  |
| <b>1.8</b> Have arrangements been made, where appropriate, for the provision of advocacy services to the child under Part 10 SSWB(W)A 2014?  |  |
| <b>1.9</b> What are the child's views, wishes and feelings on the proposed making of the SGO? How have those views, wishes and feelings been taken into account in the formulation of this plan?   |  |
| <b>1.10</b> Are there any other issues relevant to this child?   |  |

## 2. The special guardian's current and potential future needs and how these are to be met.

|  |  |
|--|--|
| <b>2.1</b> Has the special guardian been given the Welsh Government funded card with the QR code, which provides services, such as health and education, with information on the roles and responsibilities of a special guardian? |  |
|--|--|

A list of the universal special guardianship support services available in your local authority (e.g. newsletters, peer support groups, training events) should be made available to the special guardian and evidence provided that the benefits of accessing these support services have been discussed with them.

| SUPPORT AVAILABLE | HAS THIS BEEN DISCUSSED? |
|-------------------|--------------------------|
|                   |                          |
|                   |                          |
|                   |                          |
|                   |                          |
|                   |                          |
|                   |                          |
|                   |                          |
|                   |                          |
|                   |                          |

## 3. The prospective special guardian's current and anticipated needs will have been identified in the assessments undertaken during the court proceedings, as well as in discussions with the prospective special guardian(s) themselves.

|   |  |
|---|--|
| <b>3.1</b> Does the special guardian require a Part 3 assessment of need in their own right? Do they have an existing Part 4 care and support plan? If yes, does that need to be reviewed?  |  |
| <b>3.2</b> Is there any support, in addition to the general services outlined above, needed to help the special guardian understand and meet the child's current and possible future needs? This could include training/ workshops/ peer support/ 1:1 discussion with practitioner.   |  |
| <b>3.3</b> Are there any needs arising from the prospective special guardian's understanding of the possible effects of developmental trauma upon the child? Have they had the opportunity and support to develop a good understanding of the impact of the child's early experiences and possible developmental? Do they understand how caring for a child who has experienced developmental trauma might affect them and their own wellbeing? |  |

|  |  |
|--|--|
| <b>3.4</b> Does the special guardian need support in negotiating the change in roles within the family, brought about by the making of the SGO?  |  |
| <b>3.5</b> Does the special guardian require assistance in helping the extended family and support network to understand the circumstances leading to the making of the SGO?   |  |
| <b>3.6</b> Does the special guardian need specific help in talking to the child about their history and the background to the making of the order? What support will be available and how can they access? This could include training/ workshops; 1:1 discussion with practitioner. |  |
| <b>3.7</b> Who is to be the named person the special guardian is able to contact and meet within the first year of the order?  |  |
| <b>3.8</b> What is the plan for face-to-face discussion for the purposes of support in the first year? Identify person/ contact details.   |  |

**4. The current and potential future needs of other members of the family's needs and those of other members of the household, particularly dependent children**

|   |  |
|---|--|
| <b>4.1</b> If there are children in the special guardian's household, do they need support in understanding the background of the child and family leading to the making of an SGO or in adjusting to the child becoming a member of the household? Are there any needs arising from this, and how will these be met? |  |
| <b>4.2</b> If there are any other family members living in the household, do they need any specific support relating to the child living in the household?  |  |
| <b>4.3</b> For family members who are not part of the household but who have a supporting role in relation to the special guardian, do they need any specific support relating to the child living in the household?  |  |

## 5. The parents' needs

Do the parents have any particular needs that should be met in order to support the special guardianship order

|  |  |
|--|--|
| <b>5.1</b> Any needs arising from keeping in touch / family time / contact arrangements. Detail any supervision / support needs relating to this |  |
| <b>5.2</b> Any needs relating to the maintenance / development of the family relationship with the special guardian(s)                           |  |
| <b>5.3</b> Any needs relating to the agreed information to be provided within the family as to why the SGO was necessary                         |  |

## 6. Specific needs arising from proposed keeping in touch / family time / contact arrangements (direct/indirect/remote) with family, including brothers and sisters, parents and any other significant person, and how those needs are to be met. Detail below what has been offered and / or plans for further support needed. If the Local Authority plans to remain involved to support keeping in touch arrangements, provide details.

|   |  |
|---|--|
| <b>6.1</b> Family meetings / family group conferences to assist with making agreed arrangements   |  |
| <b>6.2</b> Assistance in arranging and amending arrangements  |  |
| <b>6.3</b> Provision of monitoring  |  |
| <b>6.4</b> Provision of venue   |  |
| <b>6.5</b> Help with handovers  |  |
| <b>6.6</b> Help with the use of social media - the child's independent contact with parents and other family  |  |
| <b>6.7</b> Has the draft life story book has been completed and shared with the special guardian(s). Has the date set for the availability of the later life letter |  |

## 7. Quality time spent apart (respite / short break care). This has to be arranged in order for it to meet the needs of both special guardian and child

|   |  |
|---|--|
| <b>7.1</b> Has the opportunity for natural and regular breaks for both special guardian and child been discussed? Are there family members or friends who can develop a relationship with the child in order to provide quality time for both special guardian and child? Are the 'legacy carers' (if identified – see below) going to be able to develop a relationship with the child where respite or short break care can evolve naturally within the family? |  |
|---|--|



|  |  |
|--|--|
| <b>7.2</b> If there is no possibility of short break care being provided within the family and the special guardian and child will need a natural break from each other, what is the plan for meeting both the special guardian and the child's needs? |  |
|--|--|

**8. What are the contingency plans for the child in the event of the special guardian's illness, incapacity or other inability to care for the child**

|   |  |
|---|--|
| <b>8.1</b> Have 'legacy carers' been identified? These will usually be family members who will have been identified, in certain circumstances, to provide long term, ongoing care for the child in the event of the special guardian being unable to do so. |  |
| <b>8.2</b> What information does the local authority have/ what level of checks has been undertaken in respect of the individuals identified?   |  |
| <b>8.3</b> Is it the special guardian's intention to appoint the identified legacy carers as testamentary guardians? Does the person appointed fully understand this responsibility?  |  |
| <b>8.4</b> What are the child's views, wishes and feelings (if appropriate to obtain) on the contingency plan   |  |

**9. Where the special guardian lives outside the placing local authority area, the identification of local resources should be completed at an early stage**

|  |  |
|--|--|
| <b>9.1</b> Has the resident local authority been notified of the placement?  |  |
| <b>9.2</b> Has the resident authority acknowledged receipt of the notification?  |  |
| <b>9.3</b> Does the special guardian know how to access universal children's services in their resident area?  |  |
| <b>9.4</b> Are any special guardian support services to be made available by the resident local authority, on behalf of the placing/ responsible authority, within the intervening three years?      |  |
| <b>9.5</b> If there is to be a Part 4 care and support plan for the child, is the resident local authority aware of the contents?  |  |
| <b>9.6</b> Have all the elements of the Transfer of Special Guardianship Support Services Agreement been adhered to at this stage?<br><a href="#">Transfer-of-SGO-Support-Services-Agreement.pdf</a> |  |

**10. Looking towards adulthood**

|  |  |
|--|--|
| <b>10.1</b> Has the special guardian been made aware of the support available to the young adult who has been in a special guardianship arrangement before they were 18, under Category 5 in the Part 6 Code of Practice? If not, how will this information be provided and updated? |  |
| <b>10.2</b> Will the reviewing process take account of the need to plan for adulthood? Detail arrangements that will be considered and anticipated plans to meet these.  |  |







**11. Special guardianship finances**

|   |  |
|---|--|
| <b>11.1</b> Has funding been made available to enable the special guardian to obtain legal advice (if not a party to the care proceedings)  |  |
| <b>11.2</b> Has the special guardian been provided with clear written guidance on how the local authority calculates its special guardianship allowance, including means testing (if the policy of the LA)?   |  |
| <b>11.3</b> Was the special guardian an approved foster carer before the SGO was made?  |  |
| <b>11.4</b> Is the special guardian clear on the current offer of financial support and how that will be reviewed? Provide details of offer.  |  |
| <b>11.5</b> What are the plans for the review of finances?  |  |
| <b>11.6</b> Is there to be any lump sum payment made? If so, what is the payment to cover?  |  |
| <b>11.7</b> Have the special guardians been offered help with legal costs in the event of parents applying for increased contact and / or a discharge of the SGO. This offer may be dependent on taking up the offer of mediation first, depending on circumstances |  |

**12. The completion of the plan and final checklist**

|   |  |
|---|--|
| <b>12.1</b> Has the special guardian been involved with the formulation of this plan?   |  |
| <b>12.2</b> Has the special guardian had the opportunity to seek legal advice on the support plan?  |  |
| <b>12.3</b> Has the special guardian seen and agreed with the final plan  |  |
| <b>12.4</b> Has the child seen the support plan and had it explained to them?   |  |
| <b>12.5</b> What are the child's views, wishes and feelings on the plan? Have they been consulted on relevant aspects of the plan? Do they understand (in an age appropriate way) what the plan involves? |  |
| <b>12.6</b> Has the children's guardian been invited to comment on the plan?  |  |
| <b>12.7</b> Does the plan provide support over the transition period from foster care to SGO (where relevant)?  |  |
| <b>12.8</b> Does the plan cover keeping in touch / family time / contact arrangements for the first year (unless a review is needed earlier)?   |  |
| <b>12.9</b> Does the plan cover both current and possible future needs?   |  |
| <b>12.10</b> Does the special guardian know who to contact for special guardianship support needs?  |  |
| <b>12.11</b> When is the first review (or 'keeping in touch' conversation) to take place?   |  |
| <b>12.12</b> Is the special guardianship family living outside of the placing authority?  |  |
| <b>12.13</b> If yes, has all the requisite communication been made with the resident authority?   |  |

| SPECIAL GUARDIANSHIP SUPPORT SERVICES PLAN (FOLLOWING REVIEW) |  |   |  |  |
|---|--|---|--|--|
| The child's needs   | Outcomes   | Actions/Services to be provided           | Resources (person/agency responsible)                                      | Timescale  |
| The special guardian's and other family members' needs        | <i>To relate to the 6 outcomes in the guide to the offer of SG support</i> | <i>(i.e. How will those needs be met)</i> | <i>This should include whether funding has been agreed (if necessary).</i> | <i>(Starting date, frequency, duration and review)</i> |
|   |  |   |  |  |
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|                                  |   |   |
|----------------------------------|---|---|
| <b>Dates:</b>                    | Date special guardianship support services plan completed | __ __ / __ __ / __ __   |
|                                  | Agreed date for next review                               | __ __ / __ __ / __ __   |
| <b>Agreement and Signatures:</b> | Child/Young Person:                                       |    |
|                                  | Special guardian(s):                                      |    |
|                                  | Child's Social Worker:                                    |    |
|                                  | Practitioner responsible for completing/monitoring plan:  |    |
|                                  | Team Manager:   |   |
|                                  | LA Senior Manager / Head of Service (as applicable):      |  |

## Annex 3 – Special Guardianship Review of Support Services Plan

# ALL WALES SPECIAL GUARDIANSHIP REVIEW OF SUPPORT SERVICES PLAN

|                    |  |
|--------------------|--|
| Local<br>Authority |  |
|--------------------|--|

The objectives of this special guardianship review of support services plan are to meet the six outcomes of the Welsh Government guide for the offer of special guardianship support in Wales, of which the overarching outcome is:

*‘To achieve and sustain an alternative permanent family life into adulthood, where children may be stable, feel secure and flourish’.*

*These six outcomes and the offers which underpin them serve to provide the criteria that will be used to evaluate the success of the plan at subsequent reviews.*

### How to use this template

This template will be used for all reviews of special guardianship support services plans in conjunction with the original SGO support services plan.

A review will either:

- confirm that the current plan remains fit for purpose, and a further review date is agreed and noted on this plan;
- use this template to amend the current plan by identifying any new support needs and how these will be met, and / or whether some previously identified support is no longer necessary. This could be done without the need for a full assessment, and a further review date is agreed and noted on the plan; or
- decide that a full assessment is required, in which case this template will be used to amend the original plan or updated plan following that assessment. The type and scope of assessment will depend on the individual circumstances of the child and special guardian

At each review, the initial support services plan should always be considered as it identifies, at the time of making the order, both current and possible future needs. A new and separate review document should be completed at each review.

**For the purposes of brevity, the plan refers to the special guardian in the singular but, where relevant, applies to both special guardians**

## REVIEW OF SPECIAL GUARDIANSHIP SUPPORT SERVICES PLAN FOR: (name of child)

|  |  |
|--|--|
| <b>Date of birth</b>   |  |
| <b>Name of special guardian(s)</b>   |  |
| <b>Date of special guardianship order</b>  |  |
| <b>Name of birth parent(s)</b>   |  |
| <b>Date last special guardianship support plan completed or last reviewed</b>  |  |
| <b>Name of child's social worker (if allocated)</b>  |  |
| <b>Name of special guardianship support worker (if allocated)</b>  |  |
| <b>Allocated team if no allocated social worker</b>  |  |
| <b>Telephone number for special guardian to contact for advice / support from those with experience of special guardianship arrangements</b> |  |

|  |  |
|--|--|
| <b>Special guardianship family's details</b><br><i>The date of authorisation for keeping the details of special guardianship family should be recorded (in line with GDPR)</i> |  |
| <b>Address</b>   |  |
| <b>E-mail</b>  |  |
| <b>Contact telephone numbers</b>   |  |
| <b>Does the special guardianship family have an up-to-date contact number for special guardianship support?</b>  |  |

### 1. The completion of the plan and final checklist

|   |  |
|---|--|
| <b>1.1</b> Has there been a considered and meaningful review of the support provided since the making of the plan / last review? Detail the date of review(s) and practitioner involved |  |
| <b>1.2</b> Has the special guardian been involved with the formulation of this plan following a review? If necessary, detail any differing opinions/ views                              |  |

|  |  |
|--|--|
| <b>1.3</b> Has the special guardian's own well-being been considered as part of the review?<br>Provide details   |  |
| <b>1.4</b> If appropriate, has the child seen the reviewed support plan and had it explained to them? Provide details.   |  |
| <b>1.5</b> What are the child's views, wishes and feelings on the reviewed plan? Have they been consulted on relevant aspects of the plan? Do they understand (in an age appropriate way) what the plan involves? Detail any support needs in relation to this and how these will be met |  |
| <b>1.6</b> Does the review reflect both current and possible future needs of the child as identified in the original plan? Provide details of any additional needs that should be considered.  |  |
| <b>1.7</b> Does the plan cover keeping in touch / family time / contact arrangements until the next review?  |  |
| <b>1.8</b> Is the special guardian confident of the dedicated route for accessing support should they need it?   |  |
| <b>1.9</b> When is the next review (or 'keeping in touch') conversation with the special guardian (as per Code of Practice) to take place?   |  |
| <b>1.10</b> When is the next review of financial help? This should be separate from the "keeping in touch" conversation.   |  |
| <b>1.11</b> Is the special guardianship family living outside the placing authority?   |  |
| <b>1.12</b> If yes, has a review of the plan taken place 6 months before the expiry of 3 years of the making of the order?   |  |
| <b>1.13</b> Has the resident authority been notified of the most recent assessment and plan?   |  |
| <b>1.14</b> Has the resident authority contacted the family to discuss and make arrangements for support?  |  |
| <b>1.15</b> Have all the elements of the Transfer of Special Guardianship Support Services Agreement been adhered to at this stage?<br><a href="#">Transfer-of-SGO-Support-Services-Agreement.pdf</a>  |  |








## REVIEW OF THE SPECIAL GUARDIANSHIP SUPPORT SERVICES PLAN

|   |                 |
|---|-----------------|
| <b>Date of Review</b>   | _ _ / _ _ / _ - |
| <b>Reason for Review (mark as appropriate)</b>  |                 |
| 1. As agreed post order (particularly where specific or specialist services have been provided and those services need a review)              |                 |
| 2. At least annually (be clear whether this is a full review or an annual 'keeping in touch' communication, separate from a financial review) |                 |
| 3. Six months before a new resident authority takes responsibility for support services (three years post order)                              |                 |
| 4. A review in anticipation of the 18 <sup>th</sup> birthday  |                 |
| 5. If change of circumstances/request from special guardianship family, please state reason   |                 |

| With reference to original Support Services Plan, detail progress to date. This will refer to the child, special guardian and other family members | Continued unmet/ongoing need identified as result of review? |
|--|--|
|  |  |
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| Any action needed following review. Please mark as appropriate.  |  |
|--|--|
| 1. No amendment required and SGSSP to continue without amendment                                       |  |
| 2. SGSSP to be amended with this template as result of the review by providing the services. See below |  |
| 3. A fresh assessment has taken place / will take place. See below.                                    |  |

| SPECIAL GUARDIANSHIP SUPPORT SERVICES PLAN (FOLLOWING REVIEW) |  |   |  |  |
|---|--|---|--|--|
| The child's needs   | Outcomes   | Actions/Services to be provided           | Resources (person/agency responsible)                                      | Timescale  |
| The special guardian's and other family members' needs        | <i>To relate to the 6 outcomes in the guide to the offer of SG support</i> | <i>(i.e. How will those needs be met)</i> | <i>This should include whether funding has been agreed (if necessary).</i> | <i>(Starting date, frequency, duration and review)</i> |
|   |  |   |  |  |
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|                                  |  |   |
|----------------------------------|--|---|
| <b>Dates:</b>                    | Date last special guardianship plan completed or reviewed      | __ / __ / __  |
|                                  | Date of this review  | __ / __ / __  |
|                                  | Agreed date for next review                                    | __ / __ / __  |
| <b>Agreement and Signatures:</b> | Child/Young Person   |    |
|                                  | Special guardian(s)  |    |
|                                  | Practitioners responsible for completing/monitoring plan       |    |
|                                  | Team Manager:  |    |
|                                  | LA senior manager / Head of Service agreement (as applicable): |  |